# Identifying Core Literature Through Citation Analysis and Visualization

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Abstract: Eugene Garfield, founder of the Institute for Scientific Information, will show how contemporary scientific literature can be better understood and visualized through citation analysis, bibliometrics, and visualization, especially Historiographs. Illustrations show how to better understand the impact of specific journals and authors and to identify the core literature and history of specific topics.

For this workshop I have made a probably false assumption -- that everyone here knows how to use the *Web of Science*, the electronic version of the *Science Citation Index (SCI)* and associated Social Sciences (*SSCI*) and Arts/Humanities (A&HCI) files. For those who use the CD-ROM editions of these products then you should have little difficulty following the examples.

In recent years, the emphasis in library and information science literature has changed from information retrieval and mining to identifying core literatures – how to separate the wheat from the chaff. This is fact has been accentuated by the advent of Google.

Ordinarily, the core literature for any given topic turns out to be a group of highly cited papers, books, or journals. And in the case of historically oriented topics, the citation frequency criterion must be modulated or tempered by age considerations. The citation frequency of a paper ranked highly in 1955 might not be ranked as highly by 2005 standards.

While other databases could be used as the source of data input, all of my examples will be based on the *ISI Web* of Science, a database which now covers over 35,000,000 items published, 1900 to the present. That provides considerable scope for contemporary as well as classical historical studies. Remarkably, many core works, even for contemporary science, appeared in the early part of the  $20^{th}$  century and provide important historical links to the recent literature. That will be illustrated by examining the citation record for Albert Einstein.

In addition to the *Web* of Science, my talk is focused on *HistCite*<sup>TM</sup> software which was developed over the past several years. *HistCite* analyzes the output of searches on the *Web* of Science whether by subject, by author, by cited reference or author, institution, or countries, or a combination thereof.<sup>1</sup>

So the first step in the *HistCite* process is to pick one or more of search terms to retrieve hundreds if not thousands of articles on your topic. From that group of retrieved papers a "marked" list will be created. When the marked list is saved, it is essential to include not only the typical bibliographic information such as title, authors, and journal, but also all the cited references for each source paper retrieved. From these data, *HistCite* creates a mini virtual citation index. By appropriate sorting, the system will enable you to quickly identify the core papers on the topic in question.

To recap: A search in *Web of Science* is conducted. Whatever search profile you chose -- whether keywords or authors and/or cited references -- the retrieved records you desire are marked. Then a marked list is created. From this marked list a .txt Export File is created and "saved." The address for that "saved" text file is used as your input to *HistCite*. Let's take a look at several searches we already have processed.

Web	of Science®							
welcome ? Help	CITED REF	URE SEARCH P ADVAI	NCED CH					
Search Results Summary								
TS=(digital librar*) DocType=All document types; La	nguage=All languages; Databa	ses=SCI-EXPANDED, SSCI, second s	A&HCI Timespan=1900-2005 a topic					
1,424 results found (Set #3)	Go	to Page: 1 of 143	60					
Records 1 10 $[1   2   3   4   5   6   7   8   9   10 ]$								
Use the checkboxes to select individual records for marking, then click Submit to add them to the Marked List.								
■ Borgman CL, Smart LJ, 1. Comparing faculty infor for the design of digita JOURNAL OF THE AMER TECHNOLOGY 56 (6): 6 Times Cited: 0 ■ Links VIEW FULL	Millwood KA, et al. mation seeking in teaching and <u>I libraries</u> IICAN SOCIETY FOR INFORMAT 36-657 APR 2005	research: Implications	Sort by: Latest date SORT Mark: [0 articles marked] Selected records All records on this page					
Hanisch F, Strasser W 2. <u>How to include visuals a</u> <u>repository</u> COMPUTERS & GRAPHI Times Cited: 0 → Links VIEW FULL	and interactivities in an education CS-UK 29 (2): 237-243 APR 20	onal computer graphics 05	Records to SUBMIT You can print, save, export, e-mail, and order records after					
Cherry K 3. <u>Digital library use: So</u> COLLEGE & RESEARCH Times Cited: 0 → Links	cial practice in design and eval LIBRARIES 66 (2): 184-185 MA	uation AR 2005	Adding them to the Marked List. (The list can hold 500 records.) Analyze Results: ANALYZE View rankings and histograms of					
Secker J 4. <u>DELIVERing library reso</u> PROGRAM-ELECTRONIC 2005 Times Cited: 0 <b>Links</b> VIEW FULL	Durces to the virtual learning en CLIBRARY AND INFORMATION S	<u>vironment</u> SYSTEMS 39 (1): 39-49	the authors, journals, etc. for this set of records. (Up to 2,000 records at a time.)					
Haarhoff L 5. <u>Books from the past: an e-books project at Culturenet Cymru</u> PROGRAM-ELECTRONIC LIBRARY AND INFORMATION SYSTEMS 39 (1): 50-61 2005 Times Cited: 0  → Links VIEW FULL TEXT								
Chang NC 6. <u>Data manipulation in ar</u> PROGRAM-ELECTRONIC 2005 Times Cited: 0 → Links VIEW FULL	<u>n XML-based digital image librar</u> C LIBRARY AND INFORMATION S	⊻ SYSTEMS 39 (1): 62-72						
Key: 🎮 = Structure available								
1,424 results found (Set #3)	Go	to Page: 1 of 143	i0					
Go to Page:of 143Records 1 10 $[1   2   3   4   5   6   7   8   9   10 ]$ 1,424 documents matched your query of the 35,676,584 in the data limits you selected.								

# SLIDE 1: WOS LISTING OF SEARCH ON DIGITAL LIBRAR\*

I performed a simple keyword search on "Digital Librar\*". The first paper is by Christine Borgman, et al. Note that the papers are in reverse chronological order. Since the search is not limited to title words, the next paper retrieved is by Hanisch and Strasser. However, the third paper by Cherry contains the term in the title. Note that we have retrieved over 1400 papers.

Missing Links? Citation Matrix

Graphs

Glossary HistCite Guide About

Papers found in an ISI Web of Science topic search: digital librar\*

Nodes: 1411, <u>Authors</u>: 2483, <u>Journals</u>: 350, <u>Outer References</u>: 21614, <u>Words</u>: 2402 Collection span: 1990 - 2005 View: Overview. Sorted by **year**, **source**, **volume**, **issue**, **page**.

Page 1: 1  $\underline{2}$   $\underline{3}$   $\underline{4}$   $\underline{5}$ 

#	LCR	<u>NCR</u>	<u>Nodes</u> / <u>Date</u> / <u>Journal</u> / <u>Authors</u>	LCS	<u>GCS</u>
_1	0	1	1 1990 DATABASE 13(6):105-108 <b>GILLIAM E; SLUZENSKI K</b> <i>CD-ROM User Groups - The Experiences of Digital Equipment Corporations Digital Library Network</i>	0	2
2	0	2	2 1990 INTERDISCIPLINARY SCIENCE REVIEWS 15(3):196-198 HEINZ L Bridges - A Digital Library - Comment	0	0
3	0	0	3 1991 SMPTE JOURNAL 100(6):423-426 CARSON K; DANIELSON J An Integrated Digital Production Suite	0	0
4	0	0	<u>4</u> 1992 INFORMATION TECHNOLOGY AND LIBRARIES 11(1):40-41 <b>SCHEID BL</b> 1st Annual VTLS Library Directors Conference - Linking Multimedia Digital Libraries - Where We Are, Where Were Going – Introduction	0	0
5	0	0	<ul> <li>5 1992 INFORMATION TECHNOLOGY AND LIBRARIES 11(1):41-42</li> <li>CHACHRA V</li> <li>A Perspective on Linking Multimedia Digital Libraries</li> </ul>	0	0
6	<u>1</u>	7	<u>6</u> 1993 COMPUTER 26(11):79-81 <b>FOX EA</b> <i>Digital Libraries</i>	1	0
7	0	37	7 1993 IBM SYSTEMS JOURNAL 32(3):512-540 GLADNEY HM A Storage Subsystem for Image and Records Management	2	6
8	0	0	§ 1993 INFORMATION TECHNOLOGY AND LIBRARIES 12(2):239-240 SCHEID BL Special Section - 2nd Annual Library Directors Conference - Linking Multimedia Digital Libraries - The Changing Infrastructure – Introduction	0	0
9	1	10	<u>9</u> 1993 JOURNAL OF THE AMERICAN SOCIETY FOR INFORMATION SCIENCE 44(8):441-445 FOX EA; LUNIN LF Perspectives On - Digital Libraries - Introduction and Overview	<u>6</u>	7
10	<u>1</u>	35	10 1993 JOURNAL OF THE AMERICAN SOCIETY FOR INFORMATION SCIENCE 44(8):480-491 FOX EA; HIX D; NOWELL LT; BRUENI DJ; WAKE WC; et al. Users, User Interfaces, and Objects - Envision, a Digital Library	<u>18</u>	31

# SLIDE 2: HISTCITE DISPLAY OF WOS SEARCH ON DIGITAL LIBRAR\*

Following the procedure I described earlier, having saved the test files, we go from the *WOS* display to the opening page of *HistCite*. *WOS* is in reverse chronological order. The *HistCite* default is normal chronological order. So the earliest paper appeared in 1990. The grey indicates papers that have not been cited in the collection of 1400 papers..

When the original search was conducted, the collection consisted of 1411 papers. The *HistCite* display is not only in normal chronological order, but also is sorted within each year, by journal, volume, issue number, and page. Note the two papers from ITAL - Information Technology and Libraries. I will be using this journal as another example later. For each published paper, you will see at the top right both the local (LCS) or global (GCS) citation scores. At the top left, note that there are 2483 authors in this collection. The articles appeared

in 350 journals during the period 1990-2005. The other hot links in blue include "outer references" and words. Also at the very top are links to the editing, missing inks, and graphing features.

A click on LCS ranks the papers by their Local Citation Score, the number of times each record was cited in the collection itself. A click on GCS ranks the file by Global Citation Score, that is, how often the items are cited in the entire *WOS* file – *SCI*, *SSCI*, and *AHCI*. Remember that these papers may have been cited in other papers that did not use the term digital library. While some papers may rank well in the local collection, others may rank higher in global score because they are highly cited outside the immediate collection. Depending upon how far you wish to expand the search you can consider adding to the collection by retrieving from *WOS* those additional citing papers. However, it frequently is unnecessary to retrieve more than 500 to 1,000 papers to identify the core as they would represent a significant sampling of the relevant literature. This depends upon the size of the literature on your topic.

|--|

topic search: digital librar\*

**Cited references outside of this network** Total: 21614 (top 300 shown) Sorted by **LCS**.

#	LCS	Reference
1	<u>40</u>	SALTON G, 1983, INTRO MODERN INFORMA Wos
2	<u>25</u>	SALTON G, 1989, AUTOMATIC TEXT PROCE Wos
3	<u>24</u>	LESK M, 1997, PRACTICAL DIGITAL LI Wos
4	<u>20</u>	BAEZAYATES R, 1999, MODERN INFORMATION R Wos
5	<u>19</u>	MARCHIONINI G, 1995, INFORMATION SEEKING Wos
6	<u>19</u>	BUSH V, 1945, ATLANTIC MONTHLY, V176, P101 Wos
7	<u>17</u>	KAHN R, 1995, FRAMEWORK DISTRIBUTE Wos
8	<u>16</u>	ARMS WY, 2000, DIGITAL LIB Wos
9	<u>15</u>	BATES MJ, 1989, ONLINE REV, V13, P407 Wos
10	<u>14</u>	NIELSEN J, 1993, USABILITY ENG <u>Wos</u>
11	<u>14</u>	DEERWESTER S, 1990, J AM SOC INFORM SCI, V41, P391 Wos
12	<u>13</u>	SILBERG WM, 1997, JAMA-J AM MED ASSOC, V277, P1244 Wos
13	<u>13</u>	GOLDBERG D, 1992, COMMUN ACM, V35, P61 Wos
14	<u>12</u>	VANRIJSBERGEN CJ, 1979, INFORMATION RETRIEVA Wos
15	<u>12</u>	FURNAS GW, 1987, COMMUN ACM, V30, P964 Wos
16	<u>12</u>	CHEN HC, 1998, J AM SOC INFORM SCI, V49, P582 Wos
17	<u>12</u>	SHNEIDERMAN B, 1998, DESIGNING USER INTER Wos
18	<u>12</u>	BORGMAN CL, 2000, GUTENBERG GLOBAL INF Wos
19	<u>11</u>	WHITE HD, 1998, J AM SOC INFORM SCI, V49, P327 Wos
20	<u>11</u>	SWAIN MJ, 1991, INT J COMPUT VISION, V7, P11 Wos
21	<u>11</u>	BELKIN NJ, 1992, COMMUN ACM, V35, P29 Wos
22	<u>11</u>	BATES MJ, 1986, J AM SOC INFORM SCI, V37, P357 Wos
23	<u>10</u>	SALTON G, 1988, INFORMATION PROCESSI, V24, P513 Wos
24	<u>10</u>	FOX EA, 1995, COMMUN ACM, V38, P22 Wos
25	<u>10</u>	LAGOZE C, 2001, P 1 ACM IEEE JOINT C, P54 Wos

### **SLIDE 3: DIGITAL LIBRARIES OUTER REFERENCES**

One immediate source of additional key references can be found by looking at the list of outer references. Here you can see the entire group of papers cited in the virtual citation index, sorted by local citation score. This will be an indication of how important these references are to the topic. By clicking on *WOS* you will be able to automatically conduct a cited reference search on each paper selected. You must first enter your *WOS* access

code. From the initial WOS display you can view the full record for the items in question, decide if it is relevant, and add the record to your original marked list. You can also decide whether to add any of its citing records. You can then run the program again.

### LCS HISTORIOGRAPH: DIGITAL LIBRARIES

**G1** 



LCS >= 8 Nodes: 33, Links: 31 LCS >= 8; Min: 8, Max: 36

5. <u>31</u> 1995 COMMUNICATIONS OF THE ACM 38(4):77-84 LEVY DM; MARSHALL CC Going Digital - A Look At Assumptions Underlying Digital Libraries LCR: 0 NCR: 17 LCS: <u>36</u> GCS: 55

9. <u>83</u> 1996 ANNUAL REVIEW OF INFORMATION SCIENCE AND TECHNOLOGY 31:301-401 BISHOP AP; STAR SL Social informatics of digital library use and infrastructure LCR: <u>10</u> NCR: 320 LCS: <u>22</u> GCS: 49 3.

27. <u>405</u> 1999 INFORMATION PROCESSING & MANAGEMENT 35(3):227-243 BORGMAN CL What are digital libraries? Competing visions LCR: <u>5</u> NCR: 41 LCS: <u>23</u> GCS: 26

## SLIDE 4: LCS HISTORIOGRAPH OF DIGITAL LIBRARIES

*HistCite* also generates an historiograph based on the citation frequency selection criteria you have chosen. Here is one of the several graphs based on the first iteration of the process. Up to 200 nodes can be displayed but generally 25 to 30 are sufficient. Graphs can be based on either the LCS or the GCS data (EG to show one of each). The data for each node is located below and the complete source record can be displayed by double clicking on each node.

### GCS HISTORIOGRAPH: DIGITAL LIBRARIES

GCS >= 24 Nodes: 42, Links: 40 GCS >= 24; Min: 24, Max: 1170 1. <u>1</u> 1945 The Atlantic Monthly 176(1):101-108 BUSH V *As We May Think* LCR: 0 NCR: 1 LCS: <u>19</u> GCS: 600 2. <u>2</u> 1983 INTRO MODERN INFORMA SALTON G; MCGILL MJ An Introduction to Modern Information Retrieval LCR: 0 NCR: 1 LCS: <u>41</u> GCS: 1170 3. <u>3</u> 1989 AUTOMATIC TEXT PROCE SALTON G Automatic Text Processing - The Transformation, Analysis, and Retrieval of Information by Computer LCR: 0 NCR: 1 LCS: <u>25</u> GCS: 635

#### **SLIDE 5: GCS HISTORIOGRAPH OF DIGITAL LIBRARIES**

After adding a set of outer references to the digital library file, we find this G map. Note that paper #1 in this map is the 1945 paper by Vannevar Bush which is an historical link one might overlook in thinking about digital libraries.

Web of Science®							
welcome ? HELP FEARCH SEARCH SEARCH SEARCH SEARCH SEARCH SEARCH							
Search Results Summary							
AU=(Salton G*) DocType=All document types; Language=All languages;	; Databases=SCI-EXPANDED, SS	CI, A&HCI Timespan=1900-2005					
	USearch within results:						
Records 1 10	Go to Page: of 14 ] [ 1   <u>2</u> <b>}</b>						
Use the checkboxes to select individual records for mark	king, then click Submit to add the	m to the Marked List.					
Salton G, Singhal A, Mitra M, et al. <ol> <li>Automatic text structuring and summarization INFORMATION PROCESSING &amp; MANAGEMENT Times Cited: 34</li> <li>↓ Links</li> <li>VIEW FULL TEXT</li> </ol>	33 (2): 193-207 MAR 1997	Sort by: Latest date SORT Mark: [0 articles marked] Selected records					
<ul> <li>Singhal A, Salton G, Mitra M, et al.</li> <li>2. Document length normalization INFORMATION PROCESSING &amp; MANAGEMENT Times Cited: 12</li> <li>Links VIEW FULL TEXT</li> </ul>	32 (5): 619-633 SEP 1996	All records on this page Records to SUBMIT					
Salton G 3. <u>A new horizon for information science</u> JOURNAL OF THE AMERICAN SOCIETY FOR IN 333-333 APR 1996 Times Cited: <u>3</u> VIEW FULL TEXT	FORMATION SCIENCE 47 (4):	You can print, save, export, e-mail, and order records after adding them to the Marked List. (The list can hold 500 records.) Analyze Results:					
<ul> <li>Salton G, Allan J, Singhal A</li> <li>Automatic text decomposition and structuring INFORMATION PROCESSING &amp; MANAGEMENT Times Cited: 13</li> <li>→ Links view Full TEXT</li> </ul>	32 (2): 127-138 MAR 1996	View rankings and histograms of the authors, journals, etc. for this set of records. (Up to 2,000 records at a time.)					
SALTON G, ALLAN J 5. <u>SELECTIVE TEXT UTILIZATION AND TEXT TRA</u> INTERNATIONAL JOURNAL OF HUMAN-COMPU SEP 1995 Times Cited: 2 UIEW FULL TEXT	<u>VERSAL</u> TER STUDIES 43 (3): 483-497						
SALTON G 6. <u>PERFORMANCE OF TEXT RETRIEVAL-SYSTEMS</u> SCIENCE 268 (5216): 1418-1419 JUN 9 1995 Times Cited: 2 → Links	i						
BUCKLEY C, ALLAN J, <b>SALTON G</b> 7. AUTOMATIC ROUTING AND RETRIEVAL USING INFORMATION PROCESSING & MANAGEMENT Times Cited: 2 UICKS VIEW FULL TEXT	<u>5 SMART - TREC-2</u> 31 (3): 315-326 MAY-JUN 1995						

Key: X4 = Structure available Use the checkboxes to select individual records for marking, then click Submit to add them to the Marked List. In our next example we will demonstrate the results of a *WOS* author search for Gerald Salton, formerly professor of computer science at Cornell University. His name happens to be quite relevant to the history of digital libraries even though he died about 10 years ago. A straight-forward search of *WOS* demonstrates that 140 of his published papers are covered in the database. His work overall has been cited in more than 4,000 papers.



### SLIDE 7: SOURCE RECORD OF ARTICLE

To illustrate the format of a source record, I have selected his 1997 paper in *Information Processing & Management*, "Automatic Text Structuring and Summarization."

#### **Cited Reference Search**

< < Back to query

#### Your search has found the following references. Select only those cited references you want to include, then click FINISH SEARCH.

(Hint: Look for variants. Papers are sometimes cited incorrectly.)

Go to Page:

[ <u>31</u> | <u>32</u> | <u>33</u> | <u>34</u> | <u>35</u> | <u>36</u> | <u>37</u> | <u>38</u> | <u>39</u> | <u>40</u> ]

of 49 G0

FINISH SEARCH >>

View the articles that cite the selected references. The completed search will be added to the search history.

(Limit by language and document type)

# CITED REFERENCE

### INDEX

References 621 -- 640

SELECT PAGE SELECT ALL\* CLEAR ALL

or select specific references from the list.

When desired references have been selected from all pages, click FINISH SEARCH to complete your search.

Select	Times Cited**	Cited Author	Cited Work	Year	Volume	Page	Article ID	View Record
	3	SALTON G	J AM S INFO	1972	23			
	2	SALTON G	J AM SOC INF SCI MAR	1980		75		
	1	SALTON G	J AM SOC INFORM MAR	1972				
	1	SALTON G	J AM SOC INFORM SCI		36	200		
	3	SALTON G	J AM SOC INFORM SCI	1996	47	333		View record
	1	SALTON G	J AM SOC INFORM SCI	1993	41	388		
	5	Salton G	J AM SOC INFORM SCI	1991	42	723		View record
	1	SALTON G	J AM SOC INFORM SCI	1990	41	188		
	1	SALTON G	J AM SOC INFORM SCI	1990	41	287		
	204	SALTON G	J AM SOC INFORM SCI	1990	41	288		View record
	10	SALTON G	J AM SOC INFORM SCI	1987	38	375		View record
	44	SALTON G	J AM SOC INFORM SCI	1985	36	200		View record
	8	SALTON G	J AM SOC INFORM SCI	1985	36	268		View record
	27	SALTON G	J AM SOC INFORM SCI	1983	34	262		View record
	373	SALTON G	SMART RETRIEVAL SYST	1971				
	1	SALTON G	SMART RETRIEVAL SYST	1971		39		
	1	SALTON G	SMART RETRIEVAL SYST	1971		55		
	6	SALTON G	SMART RETRIEVAL SYST	1971		115		
	5	SALTON G	SMART RETRIEVAL SYST	1971		143		

# SLIDE 8: WOS CITED REFERENCE SEARCH ON SALTON.

When conducting searches in the *Web of Science* we must always keep in mind the distinction between source and cited works. *WOS* retrieved 140 of his papers in an author search in the "General Search" Section. However, in the Cited Reference section, we learn that he also published several well-cited books including *The SMART* Retrieval System. [A complete scan of the Cited Reference search shows over 800 lines of citations not only to his journal articles and books but also to dozens of conference papers and technical reports.]

### <u>Graphs</u>

#### Papers and Books by Gerard Salton and the papers citing them

Glossary HistCite Guide About

Nodes: 4017, <u>Authors</u>: 5372, <u>Journals</u>: 778, <u>Outer References</u>: 83149, <u>Words</u>: 4345 Collection span: 1960 - 2005 View: Overview. Sorted by **year, source, volume, issue, page**. Page 1: 1 <u>2</u> <u>3</u> <u>4</u> <u>5</u> <u>6</u> <u>7</u> <u>8</u> <u>9</u> <u>10</u> <u>1</u> <u>12</u> <u>13</u> <u>14</u>

#	LCR	<u>NCR</u>	Nodes / Date / Journal / Authors	LCS	<u>GCS</u>
1	0	7	1 1960 JOURNAL OF THE ACM 7(2):140-149 <b>SALTON G</b> <i>A New Method for the Payment of Bills and the Transfer of Credit</i>	1	1
2	0	0	2 1961 COMMUNICATIONS OF THE ACM 4(9):379-379 SALTON G Manipulation of Trees in Information Retrieval	0	0
3	0	21	3 1962 COMMUNICATIONS OF THE ACM 5(2):103-114 SALTON G Manipulation of Trees in Information Retrieval	2	7
4	0	7	4       1963 AMERICAN DOCUMENTATION 14(3):213-&         SALTON G       Some Hierarchical Models for Automatic Document Retrieval	3	3
5	<u>1</u>	11	5 1963 COMMUNICATIONS OF THE ACM 6(2):58-63 <b>MILLER JC; MALONEY CJ</b> Systematic Mistake Analysis of Digital Computer Programs	0	5
6	0	8	6 1963 JOURNAL OF THE ACM 10(3):334-& KLEIN S; SIMMONS RF A Computational Approach To Grammatical Coding of English Words	5	15
7	0	12	7 1963 JOURNAL OF THE ACM 10(4):440-& SALTON G Associative Document Retrieval Techniques Using Bibliographic Information	<u>29</u>	29
8	0	536	8 1964 AMERICAN ARCHIVIST 27(4):531-561 QUIMBY G; EVANS FB Writings on Archives, Current Records, and Historical Manuscripts	<u>1</u>	1
9	1	60	9 1964 ASLIB PROCEEDINGS 16(4):132-152 LANCASTER FW Mechanized Document Control - A Review of Some Recent Research	2	3
10	0	0	10 1964 COMMUNICATIONS OF THE ACM 7(7):400-400 SALTON G A Document Retrieval System for Man-Machine Interaction	0	

### SLIDE 9: SALTON HISTCITE FILE CONTAINING HIS BOOKS

The next slide is a chronological *HistCite* display from 1960 to 2005 of the 4,000 papers that cited Salton's work. These were captured in a simple generic cited author search of G. Salton. They were saved to a new *HistCite* file. Note that without checking his CV the fact that he published books was evident by inspecting the outer references. Since *WOS* does not include books as sources, per se, we then added these works manually to the revised *HistCite* file.

Graphs

Glossary HistCite Guide About

#### Papers and Books by Gerard Salton and papers citing them, sorted by GCS

Nodes: 4017, <u>Authors</u>: 5372, <u>Journals</u>: 778, <u>Outer References</u>: 83149, <u>Words</u>: 4345 Collection span: 1960 - 2005 View: Overview. Sorted by **GCS**. Page 1: 1 <u>2</u> <u>3</u> <u>4</u> <u>5</u> <u>6</u> <u>7</u> <u>8</u> <u>9</u> <u>10</u> <u>11</u> <u>12</u> <u>13</u> <u>14</u>

#	LCR	NCR	Nodes / Date / Journal / Authors	LCS	GCS
1	0	1	767 1983 INTRO MODERN INFORMA SALTON G Introduction to Modern Information Retrieval	1333	1333
2	5	52	1121       1988 SCIENCE 240(4857):1285-1293         SWETS JA       Measuring The Accuracy Of Diagnostic Systems	<u>4</u>	761
3	0	1	1132 1989 AUTOMATIC TEXT PROCE SALTON G Automatic Text Processing: the transformation, analysis, and retrieval of information by computer	<u>700</u>	700
4	0	1	247 1971 SMART RETRIEVAL SYST SALTON G The Smart Retrieval System-Experiments in Automatic Document Processing	400	400
5	7	36	1300 1990 JOURNAL OF THE AMERICAN SOCIETY FOR INFORMATION SCIENCE 41(6):391-407 DEERWESTER S; DUMAIS ST; FURNAS GW; LANDAUER TK; HARSHMAN R Indexing By Latent Semantic Analysis	<u>185</u>	372
6	0	1	112 1968 AUTOMATIC INFORMATIO SALTON G Automatic Information Organization and Retrieval	368	368
7	<u>4</u>	21	475 1976 JOURNAL OF THE AMERICAN SOCIETY FOR INFORMATION SCIENCE 27(3):129-146 <b>ROBERTSON SE; JONES KS</b> <i>Relevance Weighting of Search Terms</i>	246	326
8	<u>21</u>	55	1074 1988 INFORMATION PROCESSING & MANAGEMENT 24(5):513-523 SALTON G; BUCKLEY C Term-Weighting Approaches in Automatic Text Retrieval	306	306
9	1	20	330 1973 JOURNAL OF THE AMERICAN SOCIETY FOR INFORMATION SCIENCE 24(4):265-269 SMALL H Cocitation in Scientific Literature - New Measure of Relationship Between 2 Documents	57	293
10	<u>1</u>	25	1621 1994 COMMUNICATIONS OF THE ACM 37(7):31-40 MAES P Agents That Reduce Work and Information Overload	<u>29</u>	224

# SLIDE 10: SALTON HISTCITE SORTED BY GCS

After sorting by GCS, we can see that his books are not only highly cited but that many of his works have been cited by other highly cited works, for example, see the papers by Swets and Deerwester.

# GCS HISTORIOGRAPH: G. SALTON G2





By clicking on the graphs link, we are able to display one of the historiographs for this collection, highlighting visually the core works. Note that Node 767 is his book *Introduction to Modern Information Retrieval*, 1983. We can identify each of these 36 papers by clicking on each node. The arrows between nodes indicate citation links.

Missing Links? Citation Matrix

Graphs

Glossary HistCite Guide About

#### Papers from Information Technology and Libraries, 1982-2004 (formerly Journal of Library Automation, 1968-1981)

Nodes: 1780, <u>Authors</u>: 1608, <u>Journals</u>: 2, <u>Outer References</u>: 8344, <u>Words</u>: 3284 Collection span: 1968 - 2004 View: Overview. **Sorted by year, source, volume, issue, page**. Page 1: 1 <u>2</u> <u>3</u> <u>4</u> <u>5</u> <u>6</u>

#	LCR	<u>NCR</u>	Nodes / Date / Journal / Authors	LCS	<u>GCS</u>
1	0	4	1 1968 JOURNAL OF LIBRARY AUTOMATION 1(1):1-12 MORRIS NC Computer Based Acquisitions System at Texas AAndl University	0	7
2	0	12	2 1968 JOURNAL OF LIBRARY AUTOMATION 1(1):13-50 JOHNSON RD Book Catalog At Stanford	4	9
3	0	4	3 1968 JOURNAL OF LIBRARY AUTOMATION 1(1):51-65 WEDGEWORTH R Brown-University-Library Fund Accounting System	2	4
4	0	5	4 1968 JOURNAL OF LIBRARY AUTOMATION 1(1):66-74 CHAPIN RE; PRETZER DH Comparative Costs of Converting Shelf List Records to Machine Readable Form	3	4
5	0	7	5 1968 JOURNAL OF LIBRARY AUTOMATION 1(1):75-91 <b>DEGENNARO R</b> Development and Administration of Automated Systems in Academic Libraries	4	10
6	0	8	6 1968 JOURNAL OF LIBRARY AUTOMATION 1(2):93-109 AULD L Automated Book Order and Circulation Control Procedures at Oakland-University-Library	1	3
7	<u>1</u>	12	7 1968 JOURNAL OF LIBRARY AUTOMATION 1(2):110-120 BLACK DV CREATION OF COMPUTER INPUT IN AN EXPANDED CHARACTER SET	2	3
8	1	8	8 1968 JOURNAL OF LIBRARY AUTOMATION 1(2):121-127 KILGOUR FG COSTS OF LIBRARY CATALOG CARDS PRODUCED BY COMPUTER	3	5
9	0	13	9 1968 JOURNAL OF LIBRARY AUTOMATION 1(2):128-146 KENNEDY RA BELL-LABORATORIES-LIBRARY REAL-TIME LOAN SYSTEM (BELLREL)	4	10
10	0	1	10 1968 JOURNAL OF LIBRARY AUTOMATION 1(2):147-147 MURDOCK JW 3RD CONFERENCE ON TECHNICAL INFORMATION CENTER ADMINISTRATION (TICA 3), PHILADELPHIA, PENNSYLVANIA, AUGUST 29-SEPTEMBER 1, 1966 - ELIAS,AW	0	

### SLIDE 12: CHRONOLOGICAL LISTING FOR ITAL

In addition to doing searches on a particular author, one can also view the output and citation records for an entire journal. In a general search of *WOS* we identified 1780 articles published in *Information Technology and Libraries, formerly the Journal of Library Automation*.

This is only one of the many journals in the "Information and Library Science" category in the 2003 Journal Citation Reports Social Sciences Edition. In principle we could expand the HistCite file to include all the journals in this category HistCite can handle collections that far exceed the output of

the average *WOS* search. However, the limiting factor will be the size and power of your computer which will affect the speed of response.

Note that we have included data from *WOS* for the present and previous title of *ITAL*, that is, *Journal of Library Automation*.

Missing Links? Citation Matrix

Graphs

Glossary HistCite Guide About

Papers from Information Technology and Libraries, 1982-2004 (formerly Journal of Library Automation, 1968-1981)

Nodes: 1780, <u>Authors</u>: 1608, <u>Journals</u>: 2, <u>Outer References</u>: 8344, <u>Words</u>: 3284 Collection span: 1968 - 2004 View: Overview. Sorted by **GCS**. Page 1: 1 <u>2</u> <u>3</u> <u>4</u> <u>5</u> <u>6</u>

#	LCR	<u>NCR</u>	Nodes / Date / Journal / Authors	LCS	<u>GCS</u>
1	0	9	586 1983 INFORMATION TECHNOLOGY AND LIBRARIES 2(4):364-380 DOSZKOCS TE Cite NLM - Natural-Language Searching in an Online Catalog	1	54
2	0	3	208 1974 JOURNAL OF LIBRARY AUTOMATION 7(4):267-274 CLARK AS Subject Access To a Data Base of Library Holdings	0	43
3	3	15	145 1972 JOURNAL OF LIBRARY AUTOMATION 5(3):157-183 KILGOUR FG; LONG PL; LANDGRAF AL; WYCKOFF JA Shared Cataloging System of Ohio-College Library-Center	8	31
4	<u>4</u>	8	116 1971 JOURNAL OF LIBRARY AUTOMATION 4(4):207-& KILGOUR FG; LONG PL; LIEDERMA.EB; LANDGRAF AL Title-Only Entries Retrieved by Use of Truncated Search Keys	<u>12</u>	23
5	0	9	341 1979 JOURNAL OF LIBRARY AUTOMATION 12(2):125-142 HICKEY TB; RYPKA DJ Automatic Detection of Duplicate Monographic Records	4	21
6	1	13	20 1968 JOURNAL OF LIBRARY AUTOMATION 1(4):227-238 <b>RUECKING FH</b> Bibliographic Retrieval from Bibliographic Input - Hypothesis and Construction of a Test	<u>10</u>	20
7	1	20	191 1974 JOURNAL OF LIBRARY AUTOMATION 7(2):105-118 FOKKER DW; LYNCH MF Application of Variety-Generator Approach To Searches of Personal Names in Bibliographic Data Bases .1. Microstructure of Personal Authors Names	3	20
8	1	4	288 1977 JOURNAL OF LIBRARY AUTOMATION 10(4):304-319 COOPER MD; DEWATH NA Effect of User Fees on Cost of Online Searching in Libraries	0	20
9	0	10	<b>483</b> 1982 INFORMATION TECHNOLOGY AND LIBRARIES 1(2):84-97 <b>FERGUSON D; KASKE NK; LAWRENCE GS; MATTHEWS JR; ZICH R</b> <i>The CLR Public Online Catalog Study - An Overview</i>	<u>6</u>	20
10	2	28	644 1984 INFORMATION TECHNOLOGY AND LIBRARIES 3(4):354-376 MATTHEWS JR; LAWRENCE GS Further Analysis Of The CLR Online Catalog Project	0	20

# SLIDE 13: GCS LISTING FOR ITAL

After sorting the *ITAL* collection by GCS, it can be seen that the paper by Tom Doszkocs is the most cited in the database .

# 586 View: Overview

Author(s)	DOSZKOCS TE					
Title	CITE NLM - NATURAL-LANGUAGE SEARCHING IN AN ONLINE CATALOG					
Source	INFORMATION TECHNOLOGY AND LIBRARIES 2(4):364-380					
Date	1983					
Туре	Journal : Article					
	LCR: 0 NCR: 9 LCS: <u>1</u> GCS: 54					
Comment						
Address						
Reprint	DOSZKOCS, TE, NATL LIB MED, DIV SPECIALIZED INFORMAT SERV, BETHESDA, MD 20209.					
Abstract						
CR	*NAT LIBR MED, 1981, NAT LIBR MED CLASS DOSZKOCS TE, 1979, 16 P ASIS ANN M, P131 GOLDSTEIN CM, 1981, TR8105 LIST HILL NAT HOROWITZ GL, 1981, NEW ENGL J MED, V305, P924 JANKE R, 1983, ONLINE, V7, P12 OJALA M, 1983, ONLINE, V7, P31 SIEGEL ER, 1983, NAT ONL M P 1983 APR, P503 STOUT C, 1983, ONLINE, V7, P112 ULMSCHNEIDER JE, 1983, ONLINE REV, V7, P301					

# SLIDE 14: SOURCE RECORD FOR TOM DOSZKOCS PAPER

Here is a view of the full *HistCite* Source record for Tom's paper. Tom was pleasantly surprised to learn of this distinction.

# **CENTURY OF SCIENCE**

ISI recently completed the processing of several hundred key journals going back to 1900. This makes it possible to do some interesting retrospective science studies on the literature of the 20<sup>th</sup> Century. In the following example, I have done a *WOS* search from 1900 to1955 for papers published by Albert Einstein (1879-1955).

	16					
Web of Science®						
welcome ? HELP FEARCH CITED REF SEARCH	RUCTURE SEARCH ADVANCED					
Search Results Summary						
AU=(Einstein A)						
DocType=All document types; Language=All languages; Dat	abases=SCI-EXPANDED, SSCI, A&HCI Timespan=1900-1955					
(	Search within results:					
154 results found (Set #1) Go to Page: 1 of 16						
Records 1 10						
Use the checkboxes to select individual records for marking,	then click Submit to add them to the Marked List.					

_		EINSTEIN A KALIEMAN B		Sort by:
	1.	A NEW FORM OF THE GENERAL RELATIVISTIC FIELD I	EOUATIONS	Latest date - SORT
		ANNALS OF MATHEMATICS 62 (1): 128-138 1955		Marku [0 articles marked]
		Times Cited: <u>42</u>		
		→ LINKS		Selected records
				<b>F</b> 7
		BRIDGMAN PW, <b>EINSTEIN A</b> , INFELD L, et al.		All records on this page
	2.	NUCLEAR WAR - AN APPEAL TO SCIENTISTS AND THE	<u> PUBLIC</u>	
		LANCET 2 (JUL16): 131-132 1955		Records to
		Times Cited: 0		
		→ LINKS		CUDAIT
				SOBMIT
		COHEN IB, <b>EINSTEIN A</b>		You can print, save, export,
	3.	AN INTERVIEW WITH EINSTEIN		e-mail, and order records after
		SCIENTIFIC AMERICAN 193 (1): 69-73 1955		adding them to the Marked List.
		A LINKS		Analyze Results:
		LINKS		ANALYZE
_		FINCTEIN & KALIEMAN D		ANALIZE
	Λ	ALCERDATE DOODEDTIES OF THE FIELD IN THE DELAT		View rankings and histograms of
	ч.	ASYMMETRIC FIELD		the authors, journals, etc. for
		ANNALS OF MATHEMATICS 59 (2): 230-244 1954		this set of records.
		Times Cited: 15		(op to 2,000 records at a time.)
		→ LINKS		
		EINSTEIN A		
	5.	A COMMENT ON A CRITICISM OF UNIFIED FIELD THE	<u>DRY</u>	
		PHYSICAL REVIEW 89 (1): 321-321 1953		
		Times Cited: 7		
		LINKS VIEW FULL TEXT		
$\Box$	c			
	0.	SCIENCE 113 (2926): 82-84 1951		
		Times Cited: 0		
		→ LINKS		
Kovi	×.4	– Structure available		
Use t	he	checkboxes to select individual records for marking the	en click Submit to add them	to the Marked List.
1 - 4		with found (Cat #1)		30
154	res	Guils Iounu (Set #1) Gu	o to Page: Line of 16	
Reco	ords	1 10	[ 1   <u>2</u> <b>)</b>	

154 documents matched your query of the 1,651,551 in the data limits you selected.

# SLIDE 15: WOS SEARCH ON EINSTEIN A, 1900-1955

Some of you may have read the April 8, 2005 *New York Times* commentary ("One Hundred Years of Uncertainty," page A27) by Brian Greene in which he discusses Einstein's work and the remarkable

achievement of having published four classic papers in one year alone -1905! This slide shows the first page of a *WOS* search in reverse chronological order. The remainder of these 154 papers would have to be examined in order to see the 1905 papers.

Web of Science®								
welcome ? HELP SEARCH SEARCH SEARCH SEARCH SEARCH SEARCH								
Search Results Summary								
AU=(Einstein A) DocType=All document types; Language=All languages; Databases=SCI-EXPANDED <u>, SSCI, /</u>	A&HCI Timespan=1900-1955							
USearch within results:	a topic SEARCH							
154 results found (Set #1) Go to Page: 1 of 16 GO								
Records 1 10       Image: Control in the second se	<u>LO</u> ] 🕨 🕨 🔰							
Use the checkboxes to select individual records for marking, then click Submit to add them to	o the Marked List.							
<ul> <li>Einstein A, Podolsky B, Rosen N</li> <li><u>Can quantum-mechanical description of physical reality be considered</u> <u>complete?</u></li> <li>PHYSICAL REVIEW 47 (10): 0777-0780 MAY 1935</li> </ul>	Sort by:       Times Cited       SORT       Mark:     [0 articles marked]							
Times Cited: 2443	Selected records							
Einstein A 2. <u>A new determination of the molecular dimensions</u> ANNALEN DER PHYSIK 19 (2): 289-306 FEB 1906 Times Cited: <u>1622</u> LINKS	Records to							
<ul> <li>Einstein A</li> <li>The motion of elements suspended in static liquids as claimed in the molecular kinetic theory of heat</li> <li>ANNALEN DER PHYSIK 17 (8): 549-560 JUL 1905</li> <li>Times Cited: 1509</li> </ul>	You can print, save, export, e-mail, and order records after adding them to the Marked List. (The list can hold <b>500 records</b> .) Analyze Results: ANALYZE							
Einstein A 4. <u>A new determination of the molecular dimensions (vol 19, pg 289, 1906)</u> ANNALEN DER PHYSIK 34 (3): 591-592 1911 Times Cited: <u>990</u> ↓ LINKS	the authors, journals, etc. for his set of records. (Up to 2,000 records at a time.)							
Einstein A 5. The electrodynamic moving body ANNALEN DER PHYSIK 17 (10): 891-921 SEP 1905 Times Cited: 714 ↓LINKS								
<ul> <li>Einstein A</li> <li>Quantum theory of radiation. PHYSIKALISCHE ZEITSCHRIFT 18: 121-128 1917</li> <li>Times Cited: 703</li> <li>LINKS</li> </ul>								
Key: 🚧 = Structure available								
154 results found (Set #1)	U LIE MAIKEU LISL.							
Records 1 10     Go to Page: of 16								

# SLIDE 16: WOS SEARCH SORTED BY TIMES CITED

#### Missing Links? Citation Matrix

Graphs

Glossary HistCite Guide About

#### Papers and books by Albert Einstein (1900-1955)

Nodes: 186, <u>Authors</u>: 39, <u>Journals</u>: 35, <u>Outer References</u>: 159, <u>Words</u>: 488 Collection span: 1901 - 1955 View: Overview. Sorted by **year**, **source**, **volume**, **issue**, **page**. Page 1 of 2: [1 <u>2</u>]

#	LCR	<u>NCR</u>	Node / Date / Journal / Author	LCS	<u>GCS</u>
1	0	0	1 1901 ANNALEN DER PHYSIK 4 (3): 513-523 EINSTEIN A Conclusions from the capillarity occurrences	1	33
2	1	1	2 1902 ANNALEN DER PHYSIK 8 (8): 798-814 <b>EINSTEIN A</b> The thermodynamic theory of the potential differences between metals and complete dissociation solutions of their salts and a electrical method towards the probing of molecular power	0	6
3	0	1	<u>3</u> 1902 ANNALEN DER PHYSIK 9 (10): 417-433 <b>EINSTEIN A</b> <i>Kinetic theory of the heat equilibrium and the second fundamental theorem of the thermodynamics</i>	1	25
4	0	0	4 1903 ANNALEN DER PHYSIK 11 (5): 170-187 EINSTEIN A A theory on the basics of thermodynamics	5	40
5	0	0	<u>5</u> 1904 ANNALEN DER PHYSIK 14: 354 <b>EINSTEIN A</b> Zur allgemeinen molekularen Theorie der Waerme	0	29
6	0	4	6 1905 ANNALEN DER PHYSIK 17 (6): 132-148 EINSTEIN A Generation and conversion of light with regard to a heuristic point of view	2	372
7	3	4	7 1905 ANNALEN DER PHYSIK 17 (8): 549-560 EINSTEIN A The motion of elements suspended in static liquids as claimed in the molecular kinetic theory of heat	3	1536
8	0	0	8 1905 ANNALEN DER PHYSIK 17 (10): 891-921 EINSTEIN A The electrodynamic moving body	7	713
9	1	1	9 1905 ANNALEN DER PHYSIK 18 (13): 639-641 EINSTEIN A Is the inertia of a body dependent on its energy content?	2	106
10	1	2	10 1906 ANNALEN DER PHYSIK 19 (2): 289-306 EINSTEIN A A new determination of the molecular dimensions	1	1703

### SLIDE 17: HISTCITE CHRONOLOGICAL SORT OF EINSTEIN PAPERS AND BOOKS COLLECTION

Having transferred the *WOS* search to the *HistCite* format, we see his work in chronological order. Back in 1905 and even up to the war, some of his papers were highly cited, but nothing like the later years. These classics were increasingly cited as the literature grew. The four 1905 papers are nodes #6, 7, 8, and 9.

# Papers and books by Albert Einstein (1900-1955)

Cited Reference outside of this network

Total: 159 (top 200 cited shown). Sorted by LCS.

ISI	Web of Science location:	
#	<u>Author</u> / <u>Year</u> / <u>Journal</u> / <u>Reference</u>	LCS
1	PLANCK M, 1900, ANN PHYS, V1, P99 WoS	<u>3</u>
2	ABRAHAM M, 1912, PHYS Z, V13, P2 WoS	2
3	FRANK P, 1908, ANN PHYS-BERLIN, V27, P1059 WoS	<u>2</u>
4	MIRIMANOFF D, 1909, ANN PHYS, V28, P192 WoS	2
5	PLANCK M, 1901, ANN PHYS, V4, P561 WoS	<u>2</u>
6	RITZ W, 1908, PHYS Z, V9, P903 <u>WoS</u>	<u>2</u>
7	ABRAHAM BM, 1905, THEORIE ELEKTRIZITAT, V2, P319 $\underline{WoS}$	<u>1</u>
8	ABRAHAM M, 1904, ANN PHYS, V14, P273 WoS	<u>1</u>
9	ABRAHAM M, 1912, PHYS Z, V13 WoS	<u>1</u>
10	ANGELI, 1894, ATTI ACCAD LINCEI, V3, P72 <u>WoS</u>	<u>1</u>
11	BACH R, 1922, MATH Z, V13 <u>WoS</u>	<u>1</u>
12	BAUER H, 1918, PHYS Z, V19, P163 WoS	<u>1</u>
13	BEHREND, 1888, ANN CHEM, V245, P213 WoS	<u>1</u>
14	BEHREND, 1888, ANN CHEM, V258, P347 WoS	<u>1</u>
15	BEHREND, 1889, ANN CHEM, V251, P235 WoS	<u>1</u>
16	BEHREND, 1901, ANN CHEM, V315, P246 WoS	<u>1</u>
17	BEHREND, 1901, ANN CHEM, V315, P259 WoS	<u>1</u>
18	BEHREND, 1905, ANN CHEM, V343, P133 WoS	<u>1</u>
19	BEHREND, 1908, ANN CHEM, V343, P115 WoS	<u>1</u>
20	BOLTZMANN L, GASTHEORIE, V2	<u>1</u>
21	CARTAN E, 1931, B SOC MATH FRANCE <u>WoS</u>	<u>1</u>
22	CHRISTOFFEL EB, 1869, J REINE ANGEW MATH, V70, P46 $\underline{WoS}$	<u>1</u>
23	CIVILY L, 1919, NOTE RED ACC LINCEI <u>WoS</u>	<u>1</u>
24	DAVIDSON, IN PRESS	<u>1</u>
25	DEBROGLIE L, 1924, THESIS PARIS <u>WoS</u>	<u>1</u>

## SLIDE 18: OUTER REFERENCES OF EINSTEIN PAPERS COLLECTION, 1900-1955

Historians want to know who influenced a particular author. *HistCite* makes this apparent. I exported this small Einstein *WOS* collection to HistCite. Now we can not only see who cited Einstein but also who he cited. To put it mildly, he was notoriously terse in what he chose to cite. Were he publishing today, he would not have been able to omit many relevant works. In those days, he could take for granted that relevant earlier works were known to his contemporaries.

Since this is a collection of papers by Einstein, then all the papers he cited are included in the outer references. The only papers not included there are the 154 by Einstein we have retrieved in the *WOS* search.

In this new HistCite collection, we have included the 11,432 papers that cite the 150 Einstein papers included in the previous list. They are sorted chronologically from 1901 onward.

Missing Links? Citation Matrix

Graphs

Glossary HistCite Guide About

#### Papers by Albert Einstein, 1900-1956 and the papers citing them.

Nodes: 11432, <u>Authors</u>: 13266, <u>Journals</u>: 1655, <u>Outer References</u>: 247418, <u>Words</u>: 11901 Collection span: 1901 - 2005

View: Overview. Sorted by year, source, volume, issue, page.

Page 1: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39

#	LCR	<u>NCR</u>	<u>Nodes</u> / <u>Date</u> / <u>Journal</u> / <u>Authors</u>	LCS	<u>GCS</u>
1	0	0	1 1901 ANNALEN DER PHYSIK 4 (3): 513-523 EINSTEIN A Conclusions from the capillarity occurrences	33	33
2	1	1	2 1902 ANNALEN DER PHYSIK 8 (8): 798-814 <b>EINSTEIN A</b> The thermodynamic theory of the potential differences between metals and complete dissociation solutions of their salts and a electrical method towards the probing of molecular power	<u>6</u>	6
3	0	1	<u>3</u> 1902 ANNALEN DER PHYSIK 9 (10): 417-433 <b>EINSTEIN A</b> <i>Kinetic theory of the heat equilibrium and the second fundamental theorem of the thermodynamics</i>	<u>25</u>	25
4	0	0	4 1903 ANNALEN DER PHYSIK 11 (5): 170-187 EINSTEIN A A theory on the basics of thermodynamics	<u>40</u>	40
5	0	4	<ul> <li>5 1905 ANNALEN DER PHYSIK 17 (6): 132-148</li> <li>EINSTEIN A</li> <li>Generation and conversion of light with regard to a heuristic point of view</li> </ul>	373	372
6	<u>1</u>	4	6 1905 ANNALEN DER PHYSIK 17 (8): 549-560 EINSTEIN A The motion of elements suspended in static liquids as claimed in the molecular kinetic theory of heat	<u>1504</u>	1507
7	0	0	7 1905 ANNALEN DER PHYSIK 17 (10): 891-921 EINSTEIN A The electrodynamic moving body	<u>714</u>	713
8	1	1	<u>8</u> 1905 ANNALEN DER PHYSIK 18 (13): 639-641 <b>EINSTEIN A</b> Is the inertia of a body dependent on its energy content?	<u>106</u>	106
9	0	2	<u>9</u> 1906 ANNALEN DER PHYSIK 19 (2): 289-306 <b>EINSTEIN A</b> A new determination of the molecular dimensions	<u>1621</u>	1620
10	1	5	10 1906 ANNALEN DER PHYSIK 19 (2): 371-381 EINSTEIN A The theory of the Brownian motion	<u>497</u>	498

# SLIDE 19: PAPERS BY ALBERT EINSTEIN, 1900-1956, AND THE PAPERS CITING THEM.

In this new *HistCite* collection, we have included the 11,432 papers that cite the 150 Einstein papers included in the previous list. They are sorted chronologically from 1901 onward.

Graphs

Glossary HistCite Guide About

#### Papers by Albert Einstein, 1900-1956 and the papers citing them

Nodes: 11432, <u>Authors</u>: 13266, <u>Journals</u>: 1655, <u>Outer References</u>: 247418, <u>Words</u>: 11901 Collection span: 1901 - 2005 View: Overview. Sorted by **GCS**. Page 1: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39

#	LCR	<u>NCR</u>	Nodes / Date / Journal / Authors	LCS	GCS
1	<u>10</u>	101	793 1943 REVIEWS OF MODERN PHYSICS 15 (1): 1-89 CHANDRASEKHAR S Stochastic problems in physics and astronomy	<u>177</u>	4509
2	0	0	625 1935 PHYSICAL REVIEW 47 (10): 777-780 EINSTEIN A; PODOLSKY B; ROSEN N Can quantum-mechanical description of physical reality be considered complete?	2430	2430
3	2	38	7657 1995 SCIENCE 269 (5221): 198-201 ANDERSON MH; ENSHER JR; MATTHEWS MR; WIEMAN CE; CORNELL EA Observation of Bose-Einstein Condensation in a Dilute Atomic Vapor	<u>68</u>	2342
4	<u>15</u>	720	6390 1990 REVIEWS OF MODERN PHYSICS 62 (2): 251-341 HANGGI P; TALKNER P; BORKOVEC M Reaction-Rate Theory - 50 Years After Kramers	23	1669
5	0	2	9 1906 ANNALEN DER PHYSIK 19 (2): 289-306 EINSTEIN A A new determination of the molecular dimensions	<u>1621</u>	1620
6	3	12	538 1931 PHYSICAL REVIEW 38 (12): 2265-2279 ONSAGER L Reciprocal relations in irreversible processes. II.	81	1564
7	<u>10</u>	25	7062 1993 PHYSICAL REVIEW LETTERS 70 (13): 1895-1899 BENNETT CH; BRASSARD G; CREPEAU C; JOZSA R; PERES A; et al. Teleporting an Unknown Quantum State Via Dual Classical and Einstein-Podolsky-Rosen Channels	401	1563
8	1	17	1778 1963 PROCEEDINGS OF THE IEEE 51 (1): 89-& JAYNES ET; CUMMINGS FW Comparison of Quantum and Semiclassical Radiation Theories with Application To Beam Maser	<u>43</u>	1520
9	1	4	6 1905 ANNALEN DER PHYSIK 17 (8): 549-560 EINSTEIN A The motion of elements suspended in static liquids as claimed in the molecular kinetic theory of heat	<u>1504</u>	1507
10	1	34	798 1944 BELL SYSTEM TECHNICAL JOURNAL 23: 282-332 RICE SO Mathematical analysis of random noise	<u>15</u>	1433

#### SLIDE 20: PAPERS BY ALBERT EINSTEIN, 1900-1956 AND THE PAPERS CITING THEM, SORTED BY GCS.

In Slide 20, the file is sorted by GCS and include dozens of classical papers starting with Chandrasekhar among others. A measure of the quality of an author's work is reflected in the number of classic papers who have cited him. This is clearly seen in the case of Einstein.

Graphs

Glossary HistCite Guide About

Papers and books by Albert Einstein (1900-1955) and papers citing Albert Einstein (1900-1955)

Nodes: 16536, <u>Authors</u>: 17854, <u>Journals</u>: 2484, <u>Outer References</u>: 377401, <u>Words</u>: 15810 Collection span: 1901 - 2005 View: Overview. Sorted by **year, source, volume, issue, page**.

Page 1:  $1 \ \underline{2} \ \underline{3} \ \underline{4} \ \underline{5} \ \underline{6} \ \underline{7} \ \underline{8} \ \underline{9} \ \underline{10} \ \underline{11} \ \underline{12} \ \underline{13} \ \underline{14} \ \underline{15} \ \underline{16} \ \underline{17} \ \underline{18} \ \underline{19} \ \underline{20} \ \underline{21} \ \underline{22} \ \underline{23} \ \underline{24} \ \underline{25} \ \underline{26} \ \underline{27} \ \underline{28} \ \underline{29} \ \underline{30} \ \underline{31} \ \underline{32} \ \underline{33} \ \underline{34} \ \underline{35} \ \underline{36} \ \underline{37} \ \underline{38} \ \underline{39} \ \underline{40} \ \underline{41} \ \underline{42} \ \underline{43} \ \underline{44} \ \underline{45} \ \underline{46} \ \underline{47} \ \underline{48} \ \underline{49} \ \underline{50} \ \underline{51} \ \underline{52} \ \underline{53} \ \underline{54} \ \underline{55} \ \underline{56}$ 

#	LCR	<u>NCR</u>	Nodes / Date / Journal / Authors	LCS	<u>GCS</u>
1	0	0	1 1901 ANNALEN DER PHYSIK 4 (3): 513-523 EINSTEIN A Conclusions from the capillarity occurrences	33	33
2	1	1	2 1902 ANNALEN DER PHYSIK 8 (8): 798-814 <b>EINSTEIN A</b> The thermodynamic theory of the potential differences between metals and complete dissociation solutions of their salts and a electrical method towards the probing of molecular power	<u>6</u>	6
3	0	1	<u>3</u> 1902 ANNALEN DER PHYSIK 9 (10): 417-433 <b>EINSTEIN A</b> <i>Kinetic theory of the heat equilibrium and the second fundamental theorem of the thermodynamics</i>	<u>25</u>	25
4	0	0	4 1903 ANNALEN DER PHYSIK 11 (5): 170-187 EINSTEIN A A theory on the basics of thermodynamics	<u>40</u>	40
5	0	0	5 1904 ANNALEN DER PHYSIK 14: 354 EINSTEIN A Zur allgemeinen molekularen Theorie der Waerme	<u>29</u>	29
6	0	4	6 1905 ANNALEN DER PHYSIK 17 (6): 132-148 EINSTEIN A Generation and conversion of light with regard to a heuristic point of view	<u>373</u>	372
7	1	4	<b><u>7</u></b> 1905 ANNALEN DER PHYSIK 17 (8): 549-560 <b>EINSTEIN A</b> The motion of elements suspended in static liquids as claimed in the molecular kinetic theory of heat	<u>1534</u>	1534
8	0	0	8 1905 ANNALEN DER PHYSIK 17 (10): 891-921 EINSTEIN A The electrodynamic moving body	<u>714</u>	713
9	1	1	<u>9</u> 1905 ANNALEN DER PHYSIK 18 (13): 639-641 EINSTEIN A Is the inertia of a body dependent on its energy content?	<u>106</u>	106
10	0	13	10 1905 PHYSIKALISCHE ZEITSCHRIFT 6: 566-572 SANO S About the balance of fluid in an electromagnetic field.	0	0

# SLIDE 21: HISTCITE SHOWING CITATIONS TO EINSTEIN'S WORKS

In this slide, you see that there are 16,532 papers that have cited Einstein's complete works – books as well as papers. We have added 5,000 additional citing papers to the collection. However, to show you the data on ten books, we have created a separate listing.

#### Missing Links? Citation Matrix

#### Graphs

### Glossary HistCite Guide About

#### **Books by Einstein**

Nodes: 16, <u>Authors</u>: 1, <u>Journals</u>: 8, <u>Outer References</u>: 0, <u>Words</u>: 19 Collection span: 1920 - 1961 View: Overview. Sorted by **GCS**.

#	LCR	<u>NCR</u>	Nodes / Date / Journal / Authors	LCS	<u>GCS</u>
1	0	0	13 1955 MEANING RELATIVITY EINSTEIN A The Meaning of Relativity	0	306
2	0	0	<u>6</u> 1949 A EINSTEIN PHILOS SC EINSTEIN A Albert Einstein: Philosopher-Scientist (PA Schilpp, ed)	0	255
3	0	0	12 1954 IDEAS OPINIONS EINSTEIN A Ideas and Opinions	0	203
4	0	0	5 1938 EVOLUTION PHYSICS EINSTEIN A The Evolution of Physics	0	190
5	0	0	7 1950 MEANING RELATIVITY EINSTEIN A The Meaning of Relativity	0	179
6	0	0	3 1923 PRINCIPLE RELATIVITY EINSTEIN A The Principle of Relativity	0	170
7	0	0	4 1926 INVESTIGATIONS THEOR EINSTEIN A Investigations on the Theory of Brownian Movement	0	160
8	0	0	16 1961 RELATIVITY SPECIAL G EINSTEIN A Relativity: the special and the general theory	0	138
9	0	0	10       1952 PRINCIPLE RELATIVITY         EINSTEIN A       The Principle of Relativity	0	132
10	0	0	8 1950 OUT OF MY LATER YEARS EINSTEIN A Out of My Later Years	0	125

# SLIDE 22 : HISTCITE OF EINSTEIN'S BOOKS SHOWING GCS FOR EACH

If you click on the graphs link, you can select the book category under document type. Ten of the 16 are displayed here as sorted by GCS.

### Papers by Albert Einstein, 1900-1956 and the papers citing them

Potentially missed citations

2823 nodes have citations that may potentially refer to other nodes.

1 | 12 1906 ANNALEN DER PHYSIK 20 (6): 199-206 Einstein A Theory of light production and light absorption

EINSTEIN A, 1905, ANN PHYS, V17, P182 may refer to 5 EINSTEIN-A-1905-V17-I6-P132-148 EINSTEIN A, 1905, ANN PHYS, V17, P182 may refer to 6 EINSTEIN-A-1905-V17-I8-P549-560 EINSTEIN A, 1905, ANN PHYS, V17, P182 may refer to 7 EINSTEIN-A-1905-V17-I10-P891-921

2 | 20 1907 ANNALEN DER PHYSIK 23 (6): 197-198

Einstein A

Possibility of a new examination of the relativity principle

EINSTEIN A, 1905, ANN PHYS, V17, P903 may refer to 5 EINSTEIN-A-1905-V17-I6-P132-148 EINSTEIN A, 1905, ANN PHYS, V17, P903 may refer to 6 EINSTEIN-A-1905-V17-I8-P549-560 EINSTEIN A, 1905, ANN PHYS, V17, P903 may refer to 7 EINSTEIN-A-1905-V17-I10-P891-921

3 | 23 1907 ANNALEN DER PHYSIK 23 (7): 371-384 Einstein A On the inertia of energy required by the relativity principle

EINSTEIN A, 1905, ANN PHYS, V17 may refer to <u>5</u> EINSTEIN-A-1905-V17-I6-P132-148 EINSTEIN A, 1905, ANN PHYS, V17 may refer to <u>6</u> EINSTEIN-A-1905-V17-I8-P549-560 EINSTEIN A, 1905, ANN PHYS, V17 may refer to <u>7</u> EINSTEIN-A-1905-V17-I10-P891-921 EINSTEIN A, 1905, ANN PHYS, V17, P917 may refer to <u>5</u> EINSTEIN-A-1905-V17-I6-P132-148 EINSTEIN A, 1905, ANN PHYS, V17, P917 may refer to <u>6</u> EINSTEIN-A-1905-V17-I8-P549-560 EINSTEIN A, 1905, ANN PHYS, V17, P917 may refer to <u>7</u> EINSTEIN-A-1905-V17-I8-P549-560 EINSTEIN A, 1905, ANN PHYS, V17, P917 may refer to <u>7</u> EINSTEIN-A-1905-V17-I10-P891-921 EINSTEIN A, 1907, ANN PHYS, V22, P180 may refer to <u>18</u> EINSTEIN-A-1907-V22-I3-P569-572

4 | <u>27</u> 1907 SITZUNGSBERICHTE DER KONIGLICH PREUSSISCHEN AKADEMIE DER WISSENSCHAFTEN : 542-570 Planck M

The dynamics of motion systems.

EINSTEIN A, 1905, ANN PHYS, V17, P909 may refer to 5 EINSTEIN-A-1905-V17-I6-P132-148 EINSTEIN A, 1905, ANN PHYS, V17, P909 may refer to 6 EINSTEIN-A-1905-V17-I8-P549-560 EINSTEIN A, 1905, ANN PHYS, V17, P909 may refer to 7 EINSTEIN-A-1905-V17-I10-P891-921

5 | <u>30</u> 1908 ANNALEN DER PHYSIK 25 (1): 175-184 Laub J *The optical characteristics of moving bodies.* 

LAUB J, 1907, ANN PHYS, V23, P740 may refer to 24 LAUB-J-1907-V23-I9-P738-744

### **SLIDE 23: MISSING LINKS**

Time does not permit me to dwell on another feature of *HistCite* which we call "missing links." This feature tells us whether an author has cited a wrong or variant page in the original work. Rather than cite the first page of an article, it is quite common to cite the specific page of text containing a chemical reaction formula, a data set, or a chemical compound. These page variants will not match the first page of the article cited and can be edited, once identified in *HistCite*. Once the text file is edited, the program can be run again.

# **HISTORIOGRAPH CREATION**



# **SLIDE 24: HISTORIOGRAPH CREATION**



# **SLIDE 25: HISTORIOGRAPH**

In closing, let me remind you that several dozen publicly available *HistCite* files are available at <u>www.histcite.org</u>. *HistCite* has been evaluated by a group of volunteers. If you would like to participate in the next phase of *HistCite* evaluations, contact me directly. *HistCite* is a work in progress but we hope to make it available to the public in the near future.

<sup>&</sup>lt;sup>1</sup> See <u>http://www.histcite.com/</u> for further information.