

The Most-Cited Physical-Sciences Publications in the 1945-1954 *Science Citation Index*. Part 2. Twenty *Citation Classics* in Mathematics

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When I first reported to *Current Contents*® readers that ISI® had compiled the *Science Citation Index*® (SCI®) cumulation for 1945-1954, I indicated that it would serve well the growing community of science historians.¹ Indeed, the cumulation has enabled us for the first time to identify the most-cited publications in the postwar decade, a crucial time of rapid growth and development in science and technology.

Last year Bernard Dixon, contributing editor to *Bio/Technology* and former editor of *New Scientist*, discussed the 102 life-sciences papers that were highly cited during this period.^{2,3} More recently, the 52 most-cited physical-sciences publications in the 1945-1954 SCI were examined by Stephen G. Brush, Department of History and Institute for Physical Science and Technology, University of Maryland, College Park.⁴ Since virtually all of these *Citation Classics*® were in chemistry and physics, he requested additional lists of high impact works in mathematics, astronomy, and the earth sciences. In the essay that follows, Brush continues his discussion by examining the 20 most-cited mathematics papers. Next week, he will conclude with a look at 42 *Citation Classics* in astronomy and the earth sciences.

Brush raises a question that often comes up whenever we publish undifferentiated lists of papers ranked by citations: Are these most-cited articles the most "influential"? We have consistently and repeatedly stated that citations alone do not necessarily indicate importance, quality, or influence. We instead prefer to use the more neutral term

"impact." That is, citations simply indicate that the cited work has been used in some way by the author referring to it. It would be simplistic, if not absurd, to argue that the importance of research can be measured solely on the basis of citation frequencies and ranks thereof.

Brush attempts to answer this question by comparing the lists of most-cited articles with subjective judgments, such as the award of prestigious prizes, or the opinions of historians of science. As he noted in the first part of his essay, 48 percent of the most-cited physics publications included an author who had won the Nobel Prize. For the high impact chemistry publications, this figure was 40 percent.⁴ However, he also observes that these were not necessarily the works for which the authors were honored by the prize, a point I have stated previously.⁵

For the 20 most-cited mathematics articles presented here, Brush uses the Fields Medal as an independent measure of "influence." The Fields Medal is awarded quadrennially by the International Congress of Mathematicians and is widely regarded as equivalent in prestige to the Nobel Prizes. Brush found that no Fields Medal winners were among the authors of these 20 high impact mathematics articles. On this basis, he concludes that "the most-cited publications in mathematics do not contain the most important research," and that "the most important research in mathematics, as judged by awards of the Fields Medal, is not highly cited."

This conclusion is perhaps premature since it is based on a rather small sample

Table 1: The top 100 mathematicians most cited in 1978 and 1979. Asterisks (*) indicate Fields Medal winners. A=citations from the math core journals in 1978 and 1979. B=total citations from *SCF*[®] journals in 1978 and 1979.

| A | B | | A | B | | A | B | |
|-----|-----|---|-----|-----|--|-----|-----|---|
| 164 | 202 | Adams, John Frank University of Manchester Manchester, UK | 103 | 650 | Courant, Richard New York University New York, NY | 284 | 461 | Hardy, Godfrey Harold University of Cambridge Cambridge, UK |
| 164 | 265 | Agmon, Shmuel Hebrew University Jerusalem, Israel | 128 | 159 | Curtis, Charles Whittlesey University of Oregon Eugene, OR | 161 | 217 | Hartshorne, Robert Institute for Advanced Study Princeton, NJ |
| 117 | 171 | Ahlfors, Lars Valerian Harvard University Cambridge, MA | 145 | 170 | *Deligne, Pierre Institut des Hautes Etudes Scientifiques Bures-sur-Yvette, France | 138 | 235 | Hartman, Philip Johns Hopkins University Baltimore, MD |
| 108 | 127 | Alsen, Erik Magnus University of Oslo Oslo, Norway | 148 | 263 | Dleudonne, Jean Alexandre University of Nice Nice, France | 141 | 162 | Hartshorne, Robert Cope University of California Berkeley, CA |
| 140 | 157 | Artin, Michael Massachusetts Institute of Technology Cambridge, MA | 346 | 435 | Dixmier, Jacques University of Paris VI Paris, France | 125 | 138 | Hasse, Helmut University of Hamburg Hamburg, FRG |
| 166 | 166 | Aschbacher, Michael California Institute of Technology Pasadena, CA | 111 | 137 | Douglas, Ronald George State University of New York Stony Brook, NY | 155 | 237 | Helgason, Sigurdur Massachusetts Institute of Technology Cambridge, MA |
| 252 | 444 | *Atiyah, Michael Francis Oxford University Oxford, UK | 324 | 514 | Dunford, Nelson Yale University New Haven, CT | 139 | 157 | Herstein, Israel N. University of Chicago Chicago, IL |
| 126 | 136 | Auslander, Maurice Brandeis University Waltham, MA | 101 | 824 | Erdelyi, Arthur University of Edinburgh Edinburgh, UK | 193 | 257 | Hewitt, Edwin University of Washington Seattle, WA |
| 222 | 255 | Bass, Hyman Columbia University New York, NY | 295 | 485 | Erdos, Paul Hungarian Academy of Sciences Budapest, Hungary | 154 | 309 | Hille, Einar University of California La Jolla, CA |
| 110 | 718 | Bellman, Richard Ernest University of Southern California Los Angeles, CA | 119 | 125 | Felt, Walter Yale University New Haven, CT | 119 | 167 | Hilton, Peter John Case Western Reserve University Cleveland, OH |
| 131 | 333 | Berge, Claude Jacques CNRS Paris, France | 161 | 356 | Friedman, Avner Northwestern University Evanston, IL | 115 | 152 | Hirzebruch, Friedrich University of Bonn Bonn, FRG |
| 129 | 338 | Birkhoff, Garrett Harvard University Cambridge, MA | 177 | 220 | Fuchs, Laszlo Tulane University New Orleans, LA | 334 | 491 | *Hormander, Lars Volter University of Lund Lund, Sweden |
| 297 | 387 | Borel, Armand Institute for Advanced Study Princeton, NJ | 207 | 748 | Gelfand, Izrafil Moliseevich Mathematics Institute USSR Academy of Sciences Moscow, USSR | 178 | 189 | Huppert, Bertram University of Mainz Mainz, FRG |
| 517 | 723 | Bourbaki, Nicolas France | 109 | 112 | Glauber, George Isaac University of Chicago Chicago, IL | 111 | 123 | Iwasawa, Kenkichi Princeton Univ. Princeton, NJ |
| 137 | 167 | Brauer, Richard Dagobert Harvard University Cambridge, MA | 103 | 269 | Gokhberg, Israel Tsudikovich Tel Aviv University Tel Aviv, Israel | 261 | 343 | Jacobson, Nathan Yale University New Haven, CT |
| 115 | 120 | Bredon, Glen E. Rutgers University New Brunswick, NJ | 293 | 321 | Gorenstein, Daniel Rutgers University New Brunswick, NJ | 225 | 285 | Kaplansky, Irving University of Chicago Chicago, IL |
| 173 | 342 | Brezis, Halco University of Paris VI Paris, France | 126 | 131 | Grauert, Hans University of Gottingen Gottingen, FRG | 131 | 638 | Karlin, Samuel Stanford University Stanford, CA |
| 190 | 250 | Browder, Felix Earl University of Chicago Chicago, IL | 479 | 560 | *Grothendieck, Alexandre University of Montpellier II Montpellier, France | 343 | 646 | Kato, Tosio University of California Berkeley, CA |
| 101 | 140 | Calderon, Alberto Pedro University of Chicago Chicago, IL | 106 | 369 | Hale, Jack Kenneth Brown University Providence, RI | 144 | 201 | Kobayashi, Shosheki University of California Berkeley, CA |
| 179 | 207 | Carltz, Leonard Duke University Durham, NC | 147 | 199 | Hall, Marshall California Institute of Technology Pasadena, CA | 131 | 263 | Krasnoselskiĭ, Mark Aleksandrovich Moscow Control Problems Institute Moscow, USSR |
| 167 | 236 | Cartan, Henri Paul University of Paris XI Paris, France | 207 | 492 | Halmos, Paul Richard Indiana University Bloomington, IN | 136 | 212 | Kuratowski, Kazimierz Warsaw University Warsaw, Poland |
| 131 | 178 | Clifford, Alfred Hobblitzelle Tulane University New Orleans, LA | 201 | 483 | Harary, Frank University of Michigan Ann Arbor, MI | 124 | 285 | Ladyzhenskaya, Olga Aleksandrovna Leningrad University Leningrad, USSR |

| A | B | | A | B | | A | B | |
|-----|-----|---|-----|-----|---|-----|-----|--|
| 227 | 311 | Lang, Serge Yale University New Haven, CT | 113 | 269 | Polya, George Stanford University Stanford, CA | 102 | 126 | Spanier, Edwin Henry University of California Berkeley, CA |
| 108 | 404 | Lax, Peter David New York University New York, NY | 137 | 164 | *Quillen, Daniel G. Massachusetts Institute of Technology Cambridge, MA | 253 | 394 | Stein, Elias M. Princeton University Princeton, NJ |
| 150 | 167 | Lindenstrauss, Joram Hebrew University Jerusalem, Israel | 109 | 370 | Rockafellar, Ralph Tyrrell University of Washington Seattle, WA | 104 | 112 | Swan, Richard Gordos University of Chicago Chicago, IL |
| 210 | 552 | Lions, Jacques-Louis College de France Paris, France | 224 | 349 | Rudin, Walter University of Wisconsin Madison, WI | 137 | 339 | Titchmarsh, Edward Charles Oxford University Oxford, UK |
| 112 | 140 | Lorentz, George G. University of Texas Austin, TX | 110 | 138 | Sakai, Shoichiro Nihon University Tokyo, Japan | 104 | 190 | Tutte, William Thomas University of Waterloo Waterloo, Canada |
| 137 | 249 | Mackey, George Whitelaw Harvard University Cambridge, MA | 111 | 153 | Sato, Mikio Kyoto University Kyoto, Japan | 145 | 162 | Wall, Charles Terence Clegg University of Liverpool Liverpool, UK |
| 125 | 188 | MacLane, Saunders University of Chicago Chicago, IL | 133 | 172 | Schaefer, Helmut H. University of Tubingen Tubingen, FRG | 187 | 248 | Well, Andre Institute for Advanced Study Princeton, NJ |
| 105 | 133 | May, J. Peter University of Chicago Chicago, IL | 125 | 325 | *Schwartz, Laurent Ecole Polytechnique Plaiseau, France | 110 | 487 | Weyl, Hermann Institute for Advanced Study Princeton, NJ |
| 415 | 542 | *Milnor, John Willard Institute for Advanced Study Princeton, NJ | 390 | 463 | *Serre, Jean-Pierre College de France Paris, France | 131 | 190 | Whitney, Hassler Institute for Advanced Study Princeton, NJ |
| 206 | 228 | *Mumford, David Bryant Harvard University Cambridge, MA | 160 | 185 | Shimura, Goro Princeton University Princeton, NJ | 190 | 214 | Zuriski, Oscar Harvard University Cambridge, MA |
| 119 | 147 | Nagata, Magayoshi Kyoto University Kyoto, Japan | 129 | 196 | Siegel, Carl Ludwig University of Gottingen Gottingen, FRG | 213 | 290 | Zygmund, Antoni University of Chicago Chicago, IL |

Table 2: The next 103 mathematicians most cited in 1978 and 1979. Asterisks (*) indicate Fields Medal winners. A=citations from the math core journals in 1978 and 1979. B=total citations from SCT® journals in 1978 and 1979.

| A | B | | A | B | | A | B | |
|----|-----|--|-----|-----|---|-----|-----|---|
| 70 | 90 | Amann, Herbert University of Zurich Zurich, Switzerland | 77 | 91 | Bousfield, Aldridge Knight University of Illinois Chicago, IL | 74 | 162 | Crandall, Michael G. University of Wisconsin Madison, WI |
| 68 | 76 | Amitzur, Shimon A. Hebrew University Jerusalem, Israel | 83 | 109 | Bowen, Robert E. University of California Berkeley, CA | 87 | 91 | Day, Mahlon M. University of Illinois Urbana, IL |
| 71 | 80 | Andreotti, Aldo University of Strasbourg Strasbourg, France | 89 | 95 | Browder, William Princeton University Princeton, NJ | 69 | 89 | Demazure, Michael Ecole Polytechnique Plaiseau, France |
| 91 | 387 | Arnold, Victor Igorevich University of Moscow Moscow, USSR | 69 | 76 | Carleson, Lennart Axel Edvard Mittag-Leffler Institute Djursholm, Sweden | 91 | 109 | Dickson, Leonard E. University of Chicago Chicago, IL |
| 94 | 123 | Artin, Emil University of Hamburg Hamburg, FRG | 100 | 111 | Cassels, John William Scott University of Cambridge Cambridge, UK | 70 | 92 | Diestel, Joseph Kent State University Kent, OH |
| 74 | 98 | Arveson, William Barnes University of California Berkeley, CA | 87 | 142 | Chern, Shing-Shen University of California Berkeley, CA | 69 | 90 | Dold, Albrecht University of Heidelberg Heidelberg, FRG |
| 83 | 90 | Auslander, Louis City University of New York New York, NY | 93 | 133 | Chevalley, Claude University of Paris VIII Paris, France | 100 | 171 | Ellenberg, Samuel Columbia University New York, NY |
| 99 | 154 | Bers, Lipman Columbia University New York, NY | 76 | 194 | Coddington, Earl A. University of California Los Angeles, CA | 81 | 93 | Everitt, William Norrie University of Dundee Dundee, UK |
| 93 | 104 | Bing, R.H. University of Texas Austin, TX | 78 | 87 | Conner, Pierre Euclide Louisiana State University Baton Rouge, LA | 74 | 104 | Federer, Herbert Brown University Providence, RI |
| 72 | 105 | Boas, Ralph P. Northwestern University Evanston, IL | 83 | 113 | Connez, Alain Institut des Hautes Etudes Scientifiques Bures-sur-Yvette, France | 100 | 121 | *Fefferman, Charles Louis Princeton University Princeton, NJ |
| 93 | 100 | Bonsall, Frank Featherstone University of Edinburgh Edinburgh, UK | 78 | 119 | Coxeter, Harold Scott MacDonald University of Toronto Toronto, Canada | 91 | 885 | Feller, William Princeton University Princeton, NJ |
| 79 | 118 | Bott, Raoul Harvard University Cambridge, MA | | | | 70 | 76 | Fox, Ralph H. Princeton University Princeton, NJ |

| | | | | | | | | |
|----|-----|---|----|-----|---|-----|-----|--|
| A | B | | A | B | | A | B | |
| 86 | 97 | Frohlich, Albrecht University of London London, UK | 93 | 118 | Kothe, Gottfried University of Frankfurt Frankfurt, FRG | 97 | 271 | Segal, Irving Ezra Massachusetts Institute of Technology Cambridge, MA |
| 73 | 91 | Gabriel, Pierre University of Zurich Zurich, Switzerland | 92 | 130 | Kreln, Mark G. Institute of Physical Chemistry Odessa, USSR | 81 | 98 | Sierpinski, Wacław F. Warsaw University Warsaw, Poland |
| 75 | 90 | Gamelin, Theodore W. University of California Los Angeles, CA | 82 | 88 | Lambek, Joachim McGill University Montreal, Canada | 99 | 287 | Simon, Barry Martin California Institute of Technology Pasadena, CA |
| 80 | 103 | Gillman, Leonard University of Texas Austin, TX | 78 | 98 | Luxemburg, Wilhelmus Anthonius Josephus California Institute of Technology Pasadena, CA | 98 | 225 | Smale, Stephen University of California Berkeley, CA |
| 78 | 79 | Goldschmidt, David M. University of California Berkeley, CA | 99 | 259 | Magnus, Wilhelm Polytechnic Institute of New York New York, NY | 72 | 77 | Stallings, John Robert University of California Berkeley, CA |
| 68 | 97 | Gratzer, George University of Manitoba Winnipeg, Canada | 92 | 135 | Michael, Ernest Arthur University of Washington Seattle, WA | 82 | 146 | Sternrod, Norman E. Princeton University Princeton, NJ |
| 94 | 109 | Griffiths, Phillip A. Harvard University Cambridge, MA | 85 | 102 | Moore, Calvin C. University of California Berkeley, CA | 70 | 70 | Stielberg, Robert University of California Los Angeles, CA |
| 97 | 115 | Gunning, Robert Clifford Princeton University Princeton, NJ | 78 | 90 | Morrey, Charles Bradfield University of California Berkeley, CA | 87 | 106 | Sullivan, Dennis Institut des Hautes Etudes Scientifiques Bures-sur-Yvette, France |
| 94 | 117 | Hall, Phillip University of Cambridge Cambridge, UK | 89 | 294 | Neumann, John Von Institute for Advanced Study Princeton, NJ | 73 | 73 | Suzuki, Michio University of Illinois Urbana, IL |
| 69 | 73 | Hayman, Walter Kurt University of London London, UK | 72 | 113 | Nikolskii, Sergei Mikhailovich Steklov Institute of Mathematics Moscow, USSR | 72 | 232 | Szego, Gabor Stanford University Stanford, CA |
| 82 | 88 | Higman, Graham Oxford University Oxford, UK | 68 | 85 | Nussbaum, Roger David Rutgers University New Brunswick, NJ | 92 | 172 | Szokefalvi-Nagy, Bela University of Szeged Szeged, Hungary |
| 94 | 112 | Hironaka, Heisuke Harvard University Cambridge, MA | 74 | 124 | Palais, Richard Sheldon Brandeis University Waltham, MA | 88 | 127 | Takesaki, Masamichi University of California Los Angeles, CA |
| 76 | 143 | Hirsch, Morris William University of California Berkeley, CA | 74 | 82 | Pederson, Gert Kjaergard University of Copenhagen Copenhagen, Denmark | 95 | 101 | Tate, John T. Harvard University Cambridge, MA |
| 87 | 119 | Hochschild, Gerhard P. University of California Berkeley, CA | 82 | 113 | Peetre, Jaak University of Lund Lund, Sweden | 80 | 85 | Thompson, John G. University of Cambridge Cambridge, UK |
| 81 | 90 | Hochster, Melvin University of Michigan Ann Arbor, MI | 76 | 79 | Pelczynski, Aleksander Polish Academy of Sciences Warsaw, Poland | 74 | 74 | Timmesfeld, Frantz G. University of Cologne Cologne, FRG |
| 81 | 118 | Hoffman, Kenneth Hampshire College Amherst, MA | 71 | 96 | Pletsch, Albrecht University of Jena Jena, GDR | 88 | 102 | Tits, Jacques College de France Paris, France |
| 83 | 100 | Humphreys, James E. University of Massachusetts Amherst, MA | 71 | 225 | Reed, Michael Charles Duke University Durham, NC | 83 | 130 | Treves, Francois Rutgers University New Brunswick, NJ |
| 76 | 99 | Kadison, Richard Vincent University of Pennsylvania Philadelphia, PA | 74 | 151 | Riesz, Friedrich University of Budapest Budapest, Hungary | 71 | 83 | Triebel, Hans University of Jena Jena, GDR |
| 96 | 124 | Kelley, John Le Roy University of California Berkeley, CA | 95 | 111 | Rosenthal, Haskell P. University of Texas Austin, TX | 80 | 82 | Waldhausen, Friedhelm University of Bielefeld Bielefeld, FRG |
| 68 | 74 | Kervaire, Michel A. University of Geneva Geneva, Switzerland | 70 | 109 | Schechter, Murray Lehigh University Bethlehem, PA | 80 | 108 | Warner, Garth W. University of Washington Seattle, WA |
| 90 | 94 | Knebusch, Manfred University of Regensburg Regensburg, FRG | 83 | 182 | Schoenberg, Isaac J. University of Wisconsin Madison, WI | 75 | 87 | Wolf, Joseph Albert University of California Berkeley, CA |
| 82 | 121 | Kodaira, Kunihiko University of Tokyo Tokyo, Japan | 72 | 86 | Segal, Graeme Bryce Oxford University Oxford, UK | 100 | 189 | Yosida, Kosaku Gakushuin University Tokyo, Japan |
| 69 | 525 | Kolmogorov, Andrey Nikolaevich USSR Academy of Sciences Moscow, USSR | | | | | | |
| 96 | 169 | Kostant, Bertram Massachusetts Institute of Technology Cambridge, MA | | | | | | |

of just 20 most-cited journal articles. A more adequate sample would be on the order of 1,000-1,500 articles. But even this might not be sufficient unless books were also included. During the period examined here, 1945-1954, books may have been equally or more important than journals as a means of communicating mathematical research.

An alternative approach would be to examine a list of most-cited authors rather than highest impact publications to see whether Fields Medal winners are highly cited. In 1982 we identified the 200 "pure" mathematicians who were most cited in 1978 and 1979.⁶ Through 1978, 24 individuals had received the Fields Medal. Fifteen of them (63 percent) appeared on the list of 200 most-cited mathematicians. They are identified by asterisks in Tables 1 and 2, which are reprinted from that study.

Another author on the list, Alain Connes, Institut des Hautes Études Scientifiques, Bures-sur-Yvette, France, won the Fields Medal in 1982. Including Connes, the 16 Fields Medal winners were cited 3,137 times in a set of 64 "core" mathematics journals and 4,335 times in all *SCI* journals in 1978 and 1979. Thus, the average number of citations per author was 196 and 271, respectively. In comparison, the 187 non-Fields winners received 22,578 core mathematics citations and 38,281 all *SCI* citations, yielding per author averages of 121 and 205, respectively. These data indicate that Fields Medal winners are indeed highly cited and have higher average impacts than the non-winners.

It is worthwhile to note a few limitations of the Fields Medal. The judges tend to limit awards to mathematicians who are no more than 40 years old. Theoretically, those who make major contributions to mathematics in later life would not necessarily be recognized by a Fields Medal. This is in contrast

to the Nobel Prizes, for which researchers are eligible throughout their lifetimes.

Also, the Fields Medal judges might sometimes seem to make arbitrary decisions on who is a "mathematician." This was most recently illustrated in the 1990 awards. Shigefumi Mori, Research Institute of Mathematical Sciences, Kyoto University, Japan, was honored for developing the classification of complex algebraic varieties—presumably, a contribution to "pure" mathematics.⁷ But the other winners could be considered mathematicians or theoretical physicists.

Vladimir G. Drinfeld, Institute for Low Temperature Physics and Engineering, Kharkov, USSR, was recognized for his research in algebraic geometry, number theory, quantum groups, and other mathematical specialties that are related to theoretical physics. Vaughan F.R. Jones, University of California, Berkeley, worked on knot theory, a branch of topology that has applications both to elementary particles and DNA. Edward Witten, Institute for Advanced Study, Princeton, New Jersey, was honored for his fundamental contributions to the development of string theory, which might shed light on the relationship between gravity and other natural forces.⁷

Witten has consistently appeared in our recent studies of the most-cited physical-sciences papers.^{8,9} He also appeared on a "shortlist" of 12 researchers forecasted to win the Nobel Prize in physics that appeared in *The Scientist*.¹⁰ Similar lists of "nominees" for the Nobel Prizes in chemistry as well as medicine or physiology are being prepared as we go to press. Last year *The Scientist* identified 20 likely candidates for the 1989 Nobel Prize in medicine or physiology, two of whom did go on to win it—J. Michael Bishop and Harold E. Varmus.¹¹

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 3. ———. Wonder drugs, cell biochemistry, separation techniques highlight major trends of post-World War II decade. *Current Contents* (16):3-10, 17 April 1989.
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8. Garfield E. The most-cited 1987 physical-sciences articles: superconductivity supersedes superstrings. *Current Contents* (18):3-14, 30 April 1990.
9. ———. The most-cited 1986 physical-sciences articles: ozone, comet Halley, and continued interest in superstrings and superconductivity. *Current Contents* (6):3-14, 6 February 1989.
10. Martello A. Twelve prolific physicists: likely 1990 Nobel contenders. *The Scientist* 4(17):16; 25-6, 3 September 1990.
11. Pendlebury D. The 1989 Nobel Prize in medicine: 20 who deserve it. *The Scientist* 3(19):14; 16; 19, 2 October 1989.

The Most-Cited Physical-Sciences Publications in the 1945-1954 *Science Citation Index*. Part 2. Mathematics

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This essay examines 20 highly cited papers in mathematics, based on the *Science Citation Index*® cumulation for 1945-1954. Next week 42 most-cited papers in astronomy and the earth sciences will be examined. These papers are compared with other publications (including some highly cited books) considered important by scientists and historians of science. The essay discusses some of the major trends, achievements, and researchers in mathematics in the period including World War II.

Introduction: Finding Highly Cited Publications in Small Fields

In Part 1 of this essay, I discussed 52 highly cited publications in the physical sciences, based on the *Science Citation Index*® (*SCI*®) cumulation for 1945-1954.¹ That list was composed almost entirely of publications in chemistry (25) and physics (25); there were only two in mathematics, and none in astronomy or the earth sciences. Just as one cannot ignore the physical sciences merely because they generate fewer citations than the biological sciences,² one cannot simply ignore astronomy, the earth sciences, and mathematics merely because they generate fewer citations than physics and chemistry. ISI® has therefore generated additional lists of relatively highly cited papers in these smaller, less-cited fields. In addition, I present lists of publications considered important by scientists or historians of science.

Do Citations Measure Importance? The Case of Mathematics

As noted in Part 1 of this essay, one should not simply rely on citation counts as a measure of the importance or quality of a publication. Rather, it is desirable also to obtain the independent judgments of the scientific community—for example, as indicated by Nobel Prizes—or of historians of science. Thus, 48 percent of the most-cited physics publications and 40 percent of the most-cited chemistry publications were authored or coauthored by a Nobel laureate, although those publications were not necessarily the work for which they received the Nobel Prize.¹

For mathematics, the closest equivalent to the Nobel Prize is the Fields Medal, awarded at the quadrennial International Congress of Mathematicians, beginning in 1936. No medals were given between 1936 and 1950; the medals awarded in 1936,

Table 1: Winners of the Fields Medal in mathematics, awarded at the International Congress of Mathematicians in 1936, 1950, and 1954, and their areas of research. Medalists are listed in alphabetic order. Dates in parentheses in the "Research Area" column give the time period when the medal-winning work was done.

| Medalist | Year Awarded | Research Area |
|-------------|--------------|---|
| Ahlfors L. | 1936 | Complex-variable theory, quasiconformal mappings, Riemann surfaces, meromorphic functions (1920s, 1930s). |
| Douglas J. | 1936 | Solved Plateau problem (minimal surface) (1931). |
| Kodaira K. | 1954 | Harmonic integrals and harmonic forms with application to Kahlerian and algebraic varieties (1944-1953). |
| Schwartz L. | 1950 | Theory of distributions (1945-1951). |
| Selberg A. | 1950 | Prime number theorem (1948-1949), Riemann zeta function (1940s). |
| Serre J-P. | 1954 | Complex variables, cohomology in a complex-analytic sheaf (1950-1951). |

1950, and 1954 were for research by six mathematicians published in the period from about 1930 to about 1952. These are listed in Table 1.

Table 2 presents 20 mathematics journal articles that were most cited in the 1945-1954 *SCI*. Comparing both tables, one can see that none of the Fields Medal winners appear as authors of the 20 most-cited mathematics articles during this period. The most-cited journal article by a Fields winner is by Jean-Pierre Serre, College of France, Paris.³ Its 27 citations from 1945 to 1954, however, are too few to put it on the list of 20 most-cited mathematics papers, which were cited at least 30 times. Citations for the 1958 Fields Medal winners (Klaus F. Roth, University of London, UK, and René Thom, University of Strasbourg, France) were even fewer, so including them would not make any difference to our conclusion: the most important research in mathematics, as judged by awards of the Fields Medal, is not highly cited, and the most-cited publications in mathematics do not contain the most important research.

Some mathematicians would undoubtedly argue that Stefan Banach's (University of Lvov, USSR) *Théorie des opérations linéaires* is a counterexample to this generalization, since it showed up on the list of 52 most-cited physical-sciences papers and is generally regarded as a report of important original research.^{1,4} Nevertheless, it did not win the 1936 Fields Medal for which it was presumably eligible.

The Most-Cited Mathematics Articles

The research areas of the Fields Medal winners and the most-cited papers published in mathematics journals indicate trends in pure mathematics during the 1930s and 1940s. Abstract algebra and topology were the most popular subjects. As Jean Dieudonné, University of Nice, France, expressed it in his survey of modern mathematics, the emphasis was on studying the structure rather than the content of mathematical objects.⁵ Most of the highly cited mathematicians are listed as "originators" of one or more of the research specialties described by Dieudonné.⁶

The most-cited mathematics article is on statistics and was authored by Henry B. Mann and D.R. Whitney, Ohio State University, Columbus. Most of its 109 citations from 1945 to 1954 are from biological and medical journals, so one may question whether it should be included in a list of highly cited *physical-sciences* publications. Mann has described its origin in the problem of testing a drug that was supposed to protect against the common cold.⁷

One of the most-cited papers in mathematics journals was by Milton Friedman (b. 1912), then with the National Resources Committee, Washington, DC, who won the 1976 Nobel Prize for economics. Presumably, his 1937 paper on the use of rank ordering in statistical analysis was only a small part of the body of work for which he was honored, and the award of the Nobel Prize

Table 2: The 20 most-cited papers from mathematics journals covered in the 1945-1954 *SCI*[®] cumulation.
 Papers are listed in alphabetic order by first author. A=total number of 1945-1954 citations.

| A | Bibliographic Data |
|-----|---|
| 30 | Bartlett M S. On the theoretical specification and sampling properties of autocorrelated time-series. <i>J. Roy. Statist. Soc. Ser. B Metho.</i> 8:27-41, 1946. |
| 31 | Berkson J. Application of the logistic function to bio-assay. <i>J. Amer. Statist. Assn.</i> 39:357-65, 1944. |
| 33 | Friedman M. The use of ranks to avoid the assumption of normality implicit in the analysis of variance. <i>J. Amer. Statist. Assn.</i> 32:675-701, 1937. |
| 32 | Iwasawa K. On some types of topological groups. <i>Ann. Math.</i> 50:507-58, 1949. |
| 61 | Jacobson N. The radical and semi-simplicity for arbitrary rings. <i>Amer. J. Math.</i> 67:300-20, 1945. |
| 38 | Jacobson N. Structure theory of simple rings without finiteness assumptions. <i>Trans. Amer. Math. Soc.</i> 57:228-45, 1945. |
| 30 | Kakutani S. Concrete representation of abstract (M)-spaces (A characterization of the space of continuous functions). <i>Ann. Math.</i> 42:994-1024, 1941. |
| 31 | King R & Middleton D. The cylindrical antenna; current and impedance. <i>Quart. Appl. Math.</i> 3:302-35, 1946. |
| 35 | Lin C C. On the stability of two-dimensional parallel flows. Part I.—General theory. <i>Quart. Appl. Math.</i> 3:117-42, 1945. |
| 109 | Mann H B & Whitney D R. On a test of whether one of two random variables is stochastically larger than the other. <i>Ann. Math. Statist.</i> 18:50-60, 1947. |
| 30 | Middleton D. Some general results in the theory of noise through non-linear devices. <i>Quart. Appl. Math.</i> 5:445-98, 1947. |
| 32 | Murnaghan F D. Finite deformations of an elastic solid. <i>Amer. J. Math.</i> 59:235-60, 1937. |
| 41 | Murray F J & von Neumann J. On rings of operators. <i>Ann. Math.</i> 37:116-229, 1936. |
| 33 | Neyman J. On a class of "contagious" distributions, applicable in entomology and bacteriology. <i>Ann. Math. Statist.</i> 10:35-57, 1939. |
| 32 | Steenrod N E. Products of cocycles and extensions of mappings. <i>Ann. Math.</i> 48:290-320, 1947. |
| 54 | Stone M H. Applications of the theory of Boolean rings to general topology. <i>Trans. Amer. Math. Soc.</i> 41:375-481, 1937. |
| 37 | Stone M H. The theory of representations for Boolean algebras. <i>Trans. Amer. Math. Soc.</i> 40:37-111, 1936. |
| 32 | Wald A. Sequential tests of statistical hypotheses. <i>Ann. Math. Statist.</i> 16:117-86, 1945. |
| 61 | Wiener N. Generalized harmonic analysis. <i>Acta Math.</i> 55:117-258, 1930. |
| 38 | Yates F. The analysis of multiple classifications with unequal numbers in the different classes. <i>J. Amer. Statist. Assn.</i> 29:51-66, 1934. |

to him cannot be viewed as a judgment that he made a significant contribution to mathematics.

Two American mathematicians published highly cited papers in pure mathematics: Nathan Jacobson (b. 1910), Yale University, New Haven, Connecticut, and Norbert Wiener (b. 1894–d. 1964), Massachusetts Institute of Technology, Cambridge. Wiener later became well known to the scientific public for his work in communication theory. Jacobson's work is familiar only to mathematical experts. Curiously, neither is given much attention in works on the history of modern mathematics—perhaps Jacobson's contribution is considered too specialized, Wiener's too "applied." In these cases, the *SCI* helps the historian by calling attention

to significant publications that might otherwise be overlooked.

Jacobson's two papers in 1945 presented major advances in abstract algebra, especially the theory of associative rings.⁸ He introduced what is now called the "Jacobson radical" of a ring, defined as "the ideal $J(A)$ of an associative ring A which satisfies the following two requirements: 1) $J(A)$ is the largest quasi-regular ideal in A ; 2) the quotient ring $A_q = A/J(A)$ contains no non-zero quasi-regular ideals."⁹ Based on this concept, the "Jacobson ring" is defined as "a commutative ring with unit element in which any prime ideal is the intersection of the maximal ideals containing it, i.e., a ring any integral quotient ring of which has a zero Jacobson radical."¹⁰ These ideas were

Table 3: Chronologic distribution of publication dates for the 20 mathematics papers most cited in the 1945-1954 *SCI*[®] cumulation.

| Publication Year | Number of Papers |
|------------------|------------------|
| 1930-1934 | 2 |
| 1935-1939 | 6 |
| 1940-1944 | 2 |
| 1945-1949 | 10 |

further developed in books by Jacobson and others.¹¹⁻¹³

Wiener has described the circumstances of his work on generalized harmonic analysis, leading to his 1930 paper on that topic, in his autobiography.¹⁴ Harmonic analysis is the decomposition of time-dependent physical processes or mathematical functions into components with different frequencies, pioneered by the French mathematician Joseph Fourier at the beginning of the nineteenth century. The original stimulus for Wiener's work came from problems in electrical engineering. He was able to develop a rigorous theory based on modern mathematical techniques. His interest in practical applications led him to promote the harmonic analysis of time series as a key to many problems in science and engineering.^{15,16}

Seventeen of the 20 papers in Table 2 listed one author, and the remaining three have two authors each. Sixteen authors were based at institutions located in the US, and two each were based in the UK and Japan. Table 3 shows the chronologic distribution of publication dates, and Table 4 lists the journals that published the 20 most-cited mathematics articles in the 1945-1954 *SCI*.

The Most Influential Mathematics Publications

Table 5 lists 25 books considered "influential" by the mathematician Paul Richard Halmos, University of Santa Clara, California.¹⁷ Also shown is the number of citations they received in the 1945-1954 *SCI*. There is no algorithm for selecting mathematics books from the ISI database, so it is possible that there are other mathematics books even more highly cited than these.

The leading *Citation Classic*[®] located with the help of the Halmos list is *A Course of Modern Analysis* by the British mathematician Edmund Taylor Whittaker (b. 1873-d. 1956). Whittaker was a specialist in differential equations and was known for his discovery of integral representations of solutions of Laplace's equation, including the Legendre and Bessel functions. He later wrote a major treatise on the history of optics and electromagnetism.¹⁸ When Whittaker first published *A Course of Modern Analysis* in 1902, it was, according to biographer Daniel Martin, University of Glasgow, UK, "the first book in English to present the theory of functions of a complex variable at a level suitable for undergraduate and beginning graduate students."¹⁹

George Neville Watson (b. 1886-d. 1965), a British mathematician who was an expert on complex variable theory, collaborated on the preparation of the expanded second edition that appeared in 1915.^{20,21} The book became a standard reference work for the properties of special functions and techniques used in mathematical physics. The various editions were cited 420 times in the period 1945-1954, more than the books by Banach and Harald Cramér, University of Stockholm, Sweden, the only mathematics publications on the first list of 52 most-cited publications for that period.¹ But it ap-

Table 4: The journals that published the 20 most-cited mathematics papers. The numbers in parentheses are the 1989 impact factors for the journals. Data were taken from the 1989 *JCR*[®]. The figures at the right indicate how many papers from each journal appear in Table 2.

| Journal | Number of Papers |
|--|------------------|
| Ann. Math. (2.01) | 4 |
| *Ann. Math. Statist. (N/A) | 3 |
| J. Amer. Statist. Assn. (1.17) | 3 |
| Quart. Appl. Math. (0.48) | 3 |
| Trans. Amer. Math. Soc. (0.54) | 3 |
| Amer. J. Math. (0.55) | 2 |
| Acta Math. (0.96) | 1 |
| J. Roy. Statist. Soc. Ser. B Metho. (1.15) | 1 |

*Divided in 1973 into Ann. Probab. (0.69) and Ann. Statist. (0.97)

Table 5: Mathematics books published before 1955, from a list of books that P.R. Halmos considered influential (see reference 17). Citation totals include 1945-1954 references to all editions and translations. Publication years shown are those given by Halmos; other bibliographic data are taken from the *National Union Catalog*. Books are listed in alphabetic order by first author. A = 1945-1954 citations.

| A | Bibliographic Data |
|-----|--|
| 167 | Banach S. <i>Théorie des opérations linéaires</i> (Theory of linear operations). Warsaw, Poland: Z subwencji Funduszu kulturalnego, 1932. 254 p. |
| 46 | Birkhoff G. <i>Lattice theory</i> . New York: American Mathematical Society, 1940. 155 p. |
| 37 | Böcher M & Duval E P R. <i>Introduction to higher algebra</i> . New York: Macmillan, 1907. 321 p. |
| 0 | Bohnenblust H F. <i>Lectures by H.F. Bohnenblust on theory of functions of real variables, 1936-1937</i> . Ann Arbor, MI: Edwards, 1937. 132 p. |
| 1 | Burlington R S & Torrance C C. <i>Higher mathematics with applications to science and engineering</i> . New York: McGraw-Hill, 1939. 844 p. |
| 21 | Carathéodory C. <i>Vorlesungen über reelle Funktionen</i> (Treatise on real functions). Leipzig, Germany: Teubner, 1918. 718 p. |
| 24 | Courant R & McShane E J. <i>Vorlesungen über differential und Integralrechnung</i> (Differential and integral calculus). (McShane E J, trans.) New York: Nordemann, 1938. 2 vols. |
| 1 | Dickson L E. <i>Modern algebraic theories</i> . New York: Sanborn, 1926. 276 p. |
| 8 | Granville W A & Smith P F. <i>Elements of the differential and integral calculus</i> . Boston, MA: Ginn, 1904. 463 p. |
| 14 | Graves L M. <i>The theory of functions of real variables</i> . New York: McGraw-Hill, 1946. 300 p. |
| 4 | Halmos P R. <i>Finite dimensional vector spaces</i> . Princeton, NJ: Princeton University Press, 1942. 196 p. |
| 5 | Hardy G H. <i>A course of pure mathematics</i> . Cambridge, UK: Cambridge University Press, 1908. 428 p. |
| 18 | Hausdorff F. <i>Grundzüge der Mengenlehre</i> (Foundations of set theory). Leipzig, Germany: Veit, 1914. 476 p. |
| 2 | Kleene S C. <i>Introduction to metamathematics</i> . New York: Van Nostrand, 1952. 550 p. |
| 6 | Knopp K. <i>Funktionentheorie</i> (Function theory). Berlin, Germany: de Gruyter, 1930. 2 vols. |
| 23 | Kolmogoroff A N. <i>Grundbegriffe der Wahrscheinlichkeitsrechnung</i> (Foundations of the theory of probability). Berlin, Germany: Springer, 1933. 62 p. |
| 0 | Landau E. <i>Grundlagen der Analysis</i> (Foundations of analysis). Leipzig, Germany: Akademische Verlagsgesellschaft, 1930. 134 p. |
| 30 | Lefschetz S. <i>Algebraic topology</i> . New York: American Mathematical Society, 1942. |
| 108 | Saks S & Banach S. <i>Theory of the integral</i> . Warsaw, Poland: Z subwencji Funduszu kulturalnego, 1937. 347 p. |
| 1 | Siegel C L & Bellman R. <i>Transcendental numbers</i> . Princeton, NJ: Princeton University Press, 1947. 73 p. |
| 24 | Stone M H. <i>Linear transformations in Hilbert space and their applications to analysis</i> . New York: American Mathematical Society, 1932. 622 p. |
| 2 | Townsend E J. <i>Functions of a complex variable</i> . New York: Holt, 1915. 384 p. |
| 17 | Tukey J W. <i>Convergence and uniformity in topology</i> . Princeton, NJ: Princeton University Press, 1940. 90 p. |
| 135 | van der Waerden B L, Artin E & Noether E. <i>Moderne Algebra</i> (Modern algebra). Berlin, Germany: Springer, 1931. 2 vols. |
| 420 | Whittaker E T. <i>A course of modern analysis</i> . Cambridge, UK: University Press, 1902. 378 p. |

peared in several editions and reprintings, no one of which received enough citations to put it on that list as a separate publication.

Astronomy and the Earth Sciences

Next week's essay will examine 22 astronomy journal articles and 20 earth-sciences papers that were most cited in the 1945-1954 *SCI*. These lists will be compared with publications considered influential or impor-

tant by scientists and historians of science. In addition various trends, achievements, and researchers represented in these lists will be highlighted.

* * * * *

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